

# **Testing TSA & FBI performances with ST4 physics over Global grid**

Bash Toulany, Will Perrie and Mike Casey  
Bedford Institute of Oceanography

WW3-dev group, March 21, 2018

## Introduction:

- A brief history on the Two-Scale Approximation (**TSA**) and the Full Boltzmann Integral (**FBI**) :
  - 1) Theoretical development by **Resio & Perrie (2008)**.
  - 2) Application to observed wave spectra by **Perrie & Resio (2009)**.
  - 3) First implementation in ww3 was done in v4.18, with academic tests without Sin & Sds  
**Perrie et al. (2013)** and
  - 4) First real test cases were done with ST1 & ST2 confirming the need for tuning.
- New advanced physics for SIN4 & SDS4 (switch ST4) by **Ardhuin et al. (2010)** was tested with DIA over global ocean. Tuning was necessary to make ST4 work best with DIA.
- Recently, we have used ST4 with DIA for modeling North Atlantic Nor'easters, **Perrie et al. (2018)**. Tuning also was necessary to make ST4 deliver the best results for North Atlantic storms.
- Using the latest WAVEWATCH III release v5.16, we tested TSA (switch NL4) with ST4 for real storms over the global ocean.
- We simulated several periods in 2014 and 2015 in which significant hurricanes were present, forced by the Global Climate Forecast System Reanalysis winds (CFSR).
- We compared the TSA simulations with two other nonlinear wave-wave interaction formulations available in WW3:
  - 1) the Direct Interaction Approximation (DIA), the fastest (and most-commonly used) and
  - 2) the Webb, Resio & Tracey (WRT), the most accurate (but costly and hence least used).

### **Switches used:**

F90 DIST MPI NOGRB NOPA LRB4 NC4 TRKNC **PR3 UQ** FLX0 LN1 **ST4** STAB0 **NL4** BT1 DB1 MLIM ICO  
ISO REFO WNT2 WNX2 RWND CRT1 CRX1 TR0 BS0 XX0 O0 O1 O2 O3 O4 O5 O6 O7

### **Grid Domain (GLOBAL):**

0.0 E -> 359.5 E  
-80.0 S -> 80.0 N  
dx = dy = 0.50 deg => 720 x 321 grids  
dtg dtxy dtkxy dts = 1800. 600. 600. 300. (sec.)  
Depth, mask & obstruction files were all obtained using "gridgen1" on ETOPO1.

### **Initial Condition:**

Use Fetch-limited JONSWAP spectrum (ITYPE = 3)

### **Wind forcing:**

Use the Global CFSR winds at **~0.20 deg**  
Longitude range : 0.00 359.80 (deg)  
Latitude range : -89.84 89.84 (deg)  
Input grid dim. : 1760 880

### **No ice forcing, water level or currents**

## The Simulations:

Instead of running this setup for a whole year, as in (Ardhuin et al. 2010), we chose (given the limited resources) to do several periods from 2014 & 2015 in which significant hurricanes were present. These were selected from the NHC data archive on tropical cyclone reports <http://www.nhc.noaa.gov/data/tcr/>

	kt	km/h		
<u>Hurricane Arthur</u>	( 85=157)	2014-07-01-01 -> 2014-07-07-01	(6d)	
Hurricane Bertha	( 70=130)	2014-08-01-01 -> 2014-08-07-01	(6d)	
Hurricane Cristobal	( 75=140)	2014-08-23-01 -> 2014-08-29-01	(6d)	
Hurricane Danny	(135=250)	2015-08-18-01 -> 2015-08-25-01	(7d)	
Hurricane Joaquin	(110=204)	2015-09-29-01 -> 2015-10-08-01	(9d)	
<u>Hurricane Patricia</u>	(185=343)	2015-10-20-01 -> 2015-10-25-01	(5d)	

SnI-#	BETAMAX	ZALP	???
DIA-0	1.43	0.006	---- default
WRT-0	1.43	0.006	---- default
TSA-2	1.65	0.008	----- mod-2
TSA-4	1.75	0.009	----- mod-4

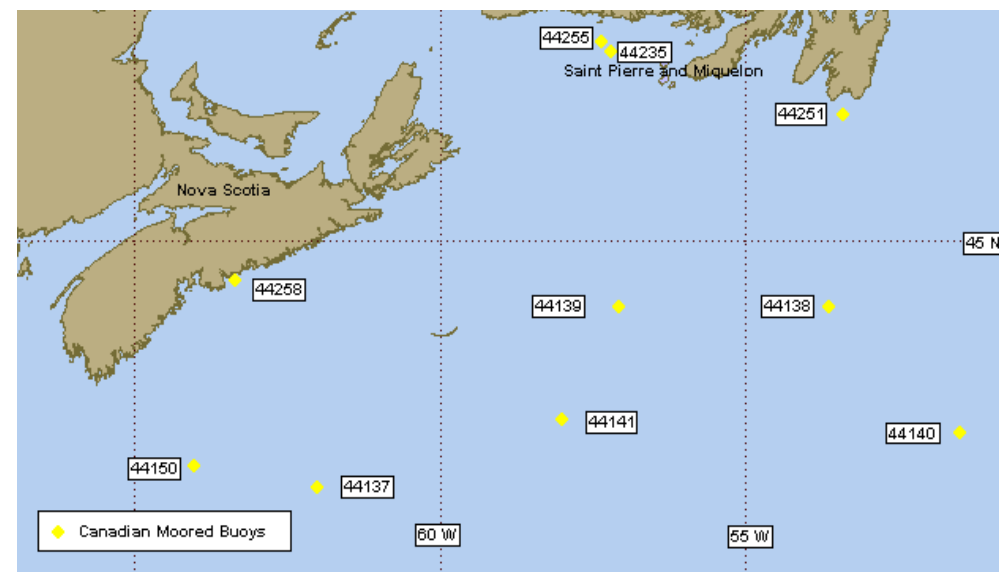
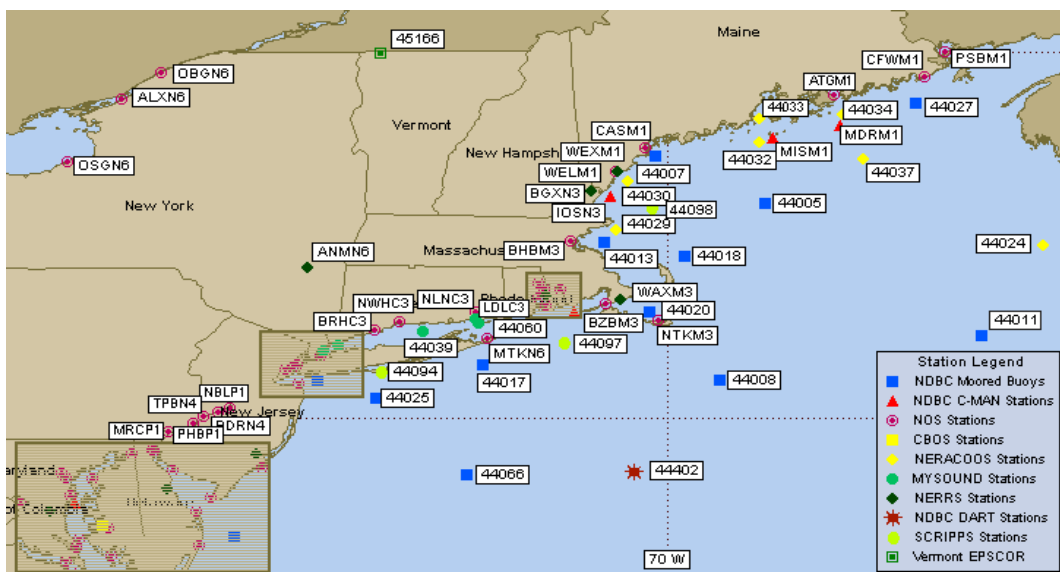
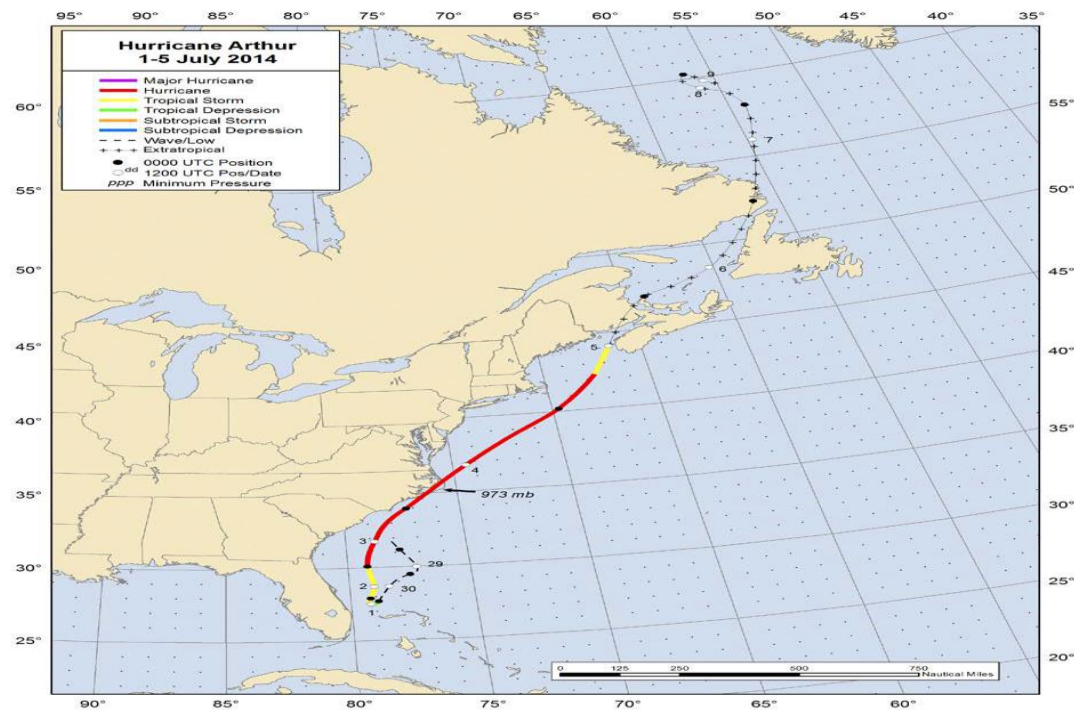
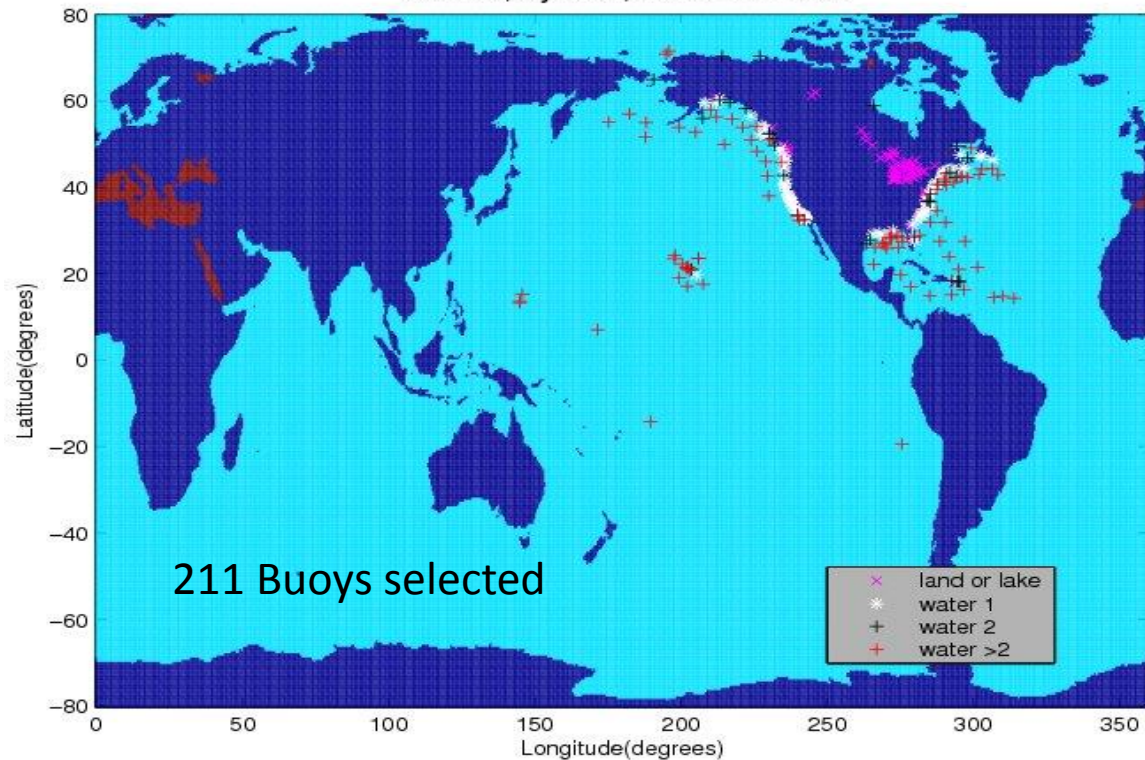
**For TSA runs: ALTLP = 2**

**Note:** Problem with ww3-v5.16 **NL4** switch in MPI mode implementation. Running **ww3\_multi** in MPI mode ran into trouble with all processors wanting to write the same thing, TSA lookup tables "**grd\_1.1000\_29\_36\_30\_37.dat**". So, to get around this problem, First you have **cancel all the !/MPI lines and all the write statements in SUBROUTINE INSNL4**. Then, run **ww3\_shel** in serial mode for 1-point ocean to generate TSA lookup table. Then we can run **ww3\_multi** in MPI mode with no problem.

## For validation I've used:

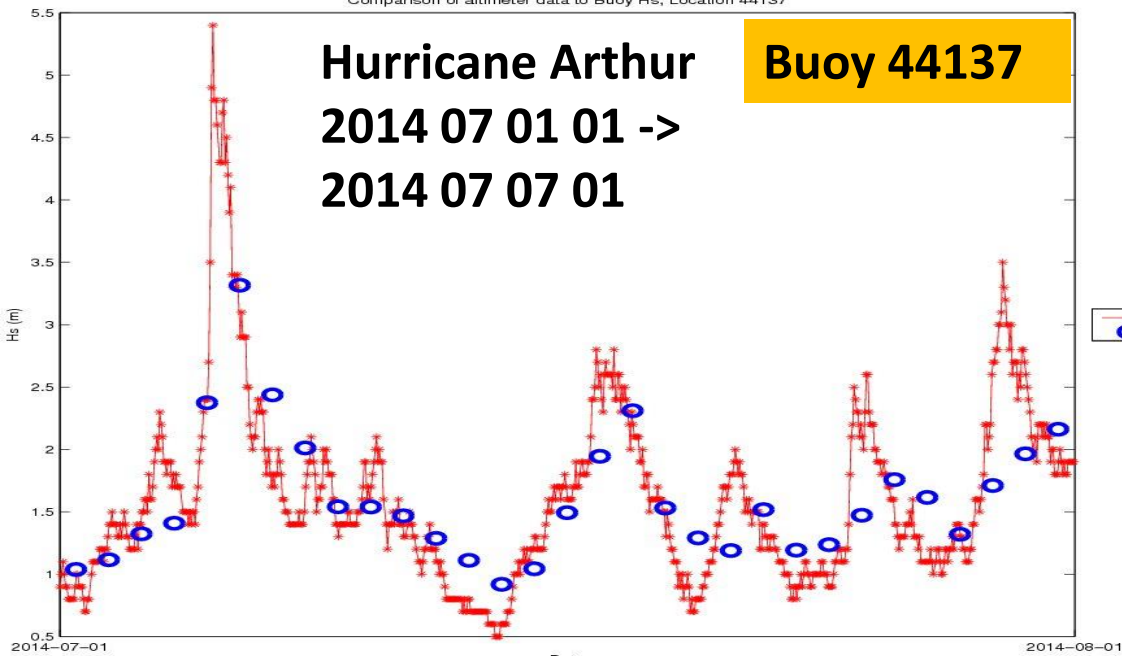
1. all available Buoys (globally): got 211 buoys that are >1 grid point of the coast and
2. AVISO cross-calibrated merged altimeter Significant Wave Height (SWH) data (daily) from <ftp://ftp.aviso.altimetry.fr/pub/oceano/AVISO/wind-wave/nrt/mswh/merged/>

Location of buoys returning Hs, GLOB grd1  
 $dx=0.5, dy=0.5, 2015\text{--}Jan\text{--}22$

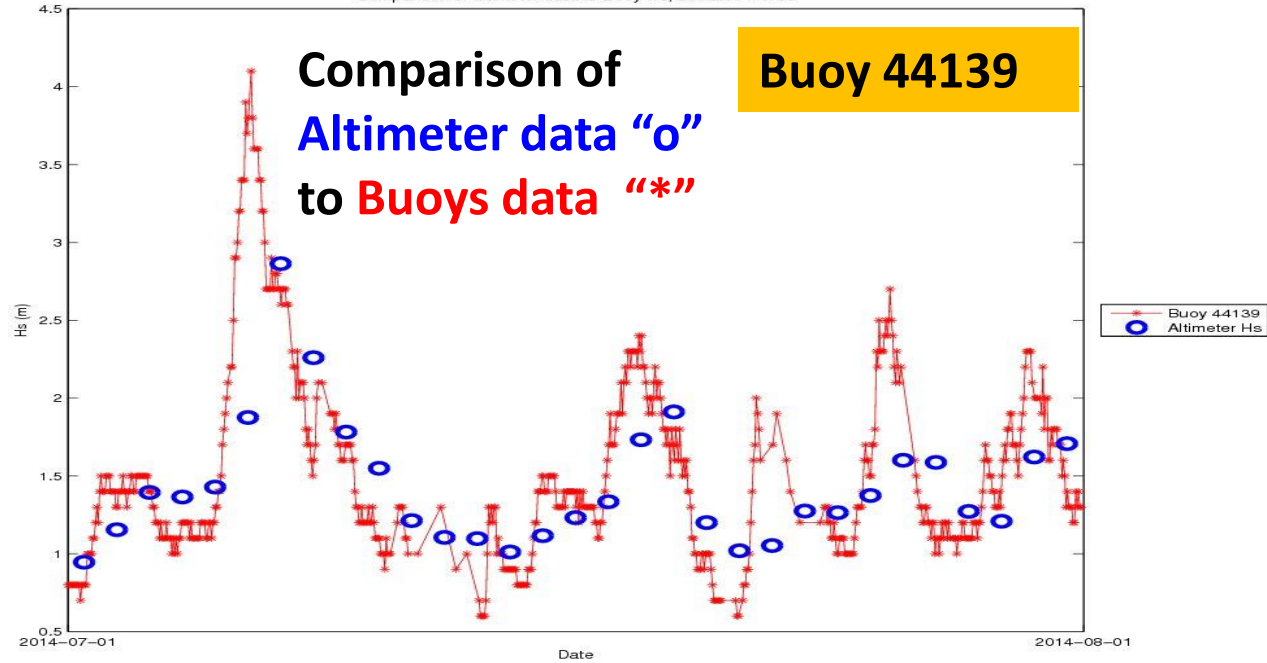




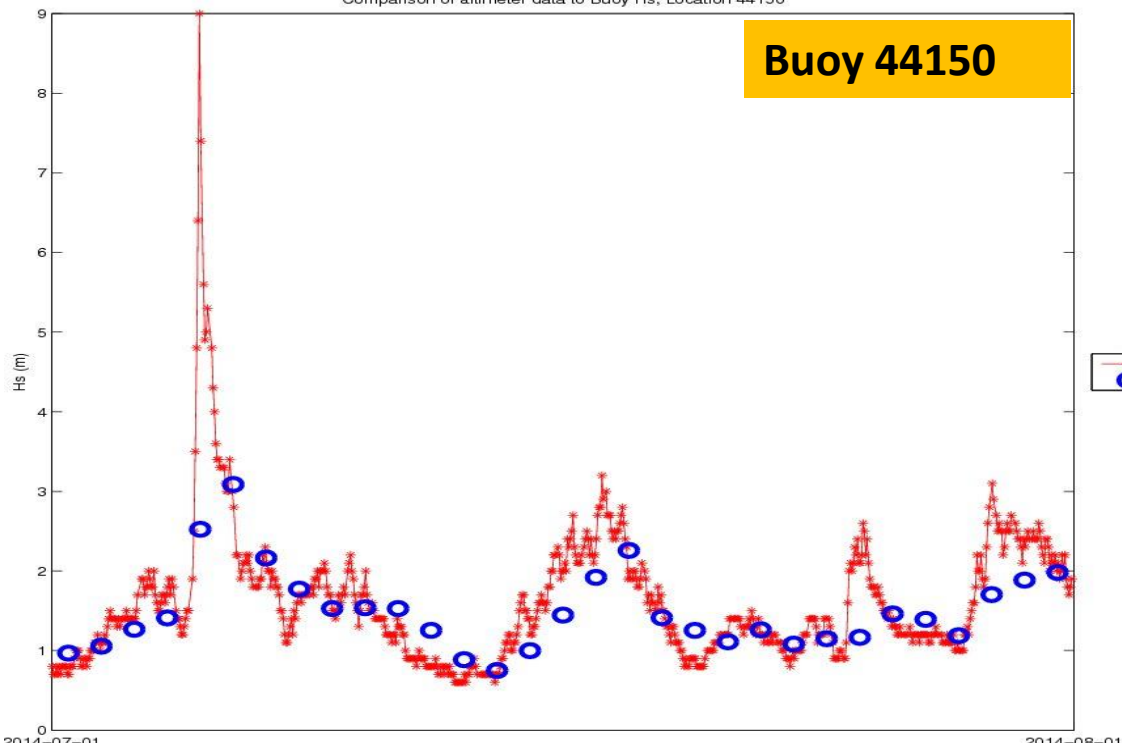
Comparison of altimeter data to Buoy Hs, Location 44137



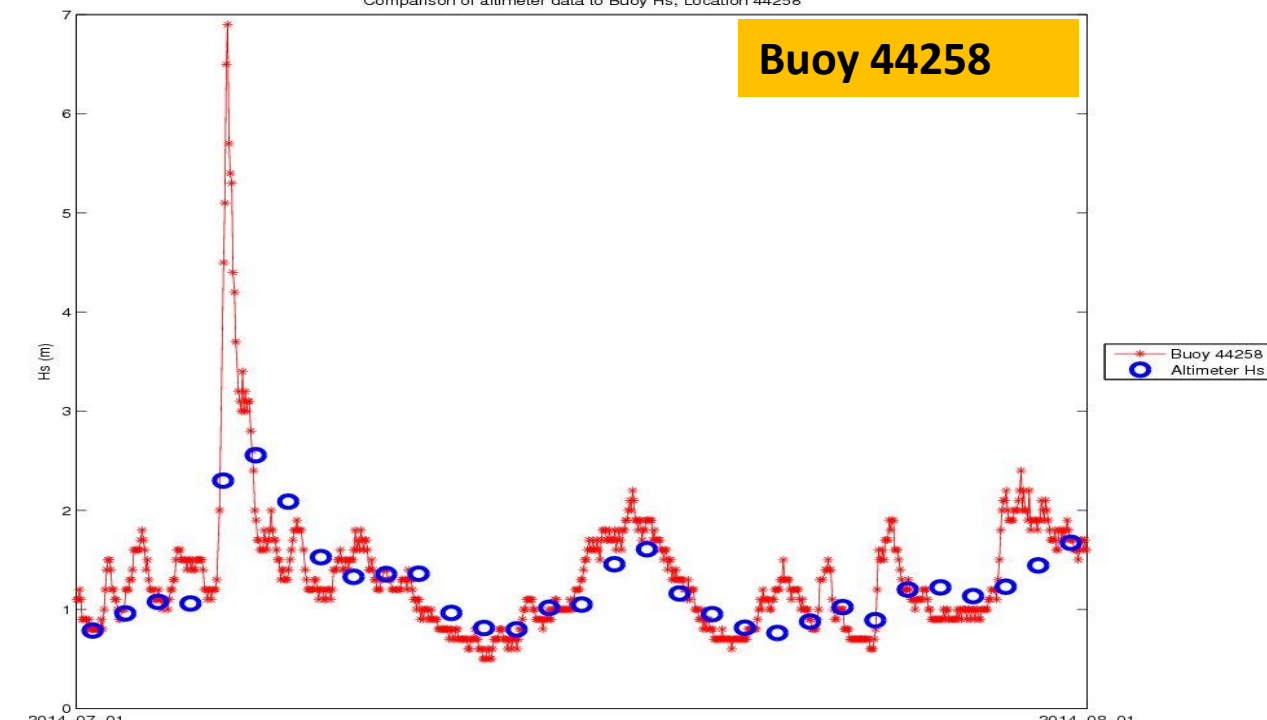
Comparison of altimeter data to Buoy Hs, Location 44139



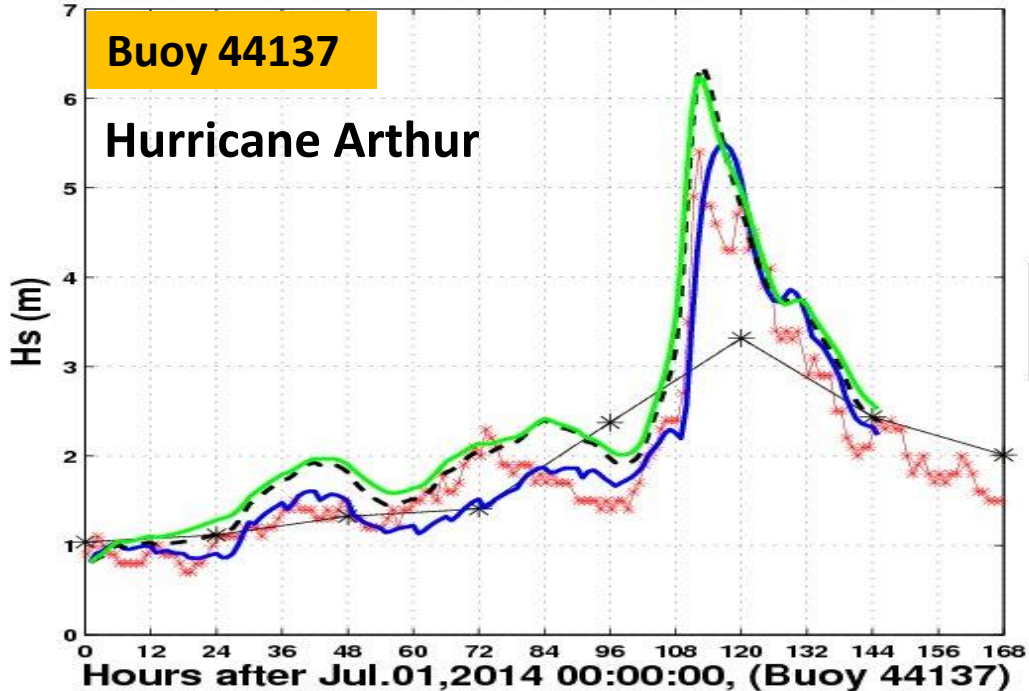
Comparison of altimeter data to Buoy Hs, Location 44150



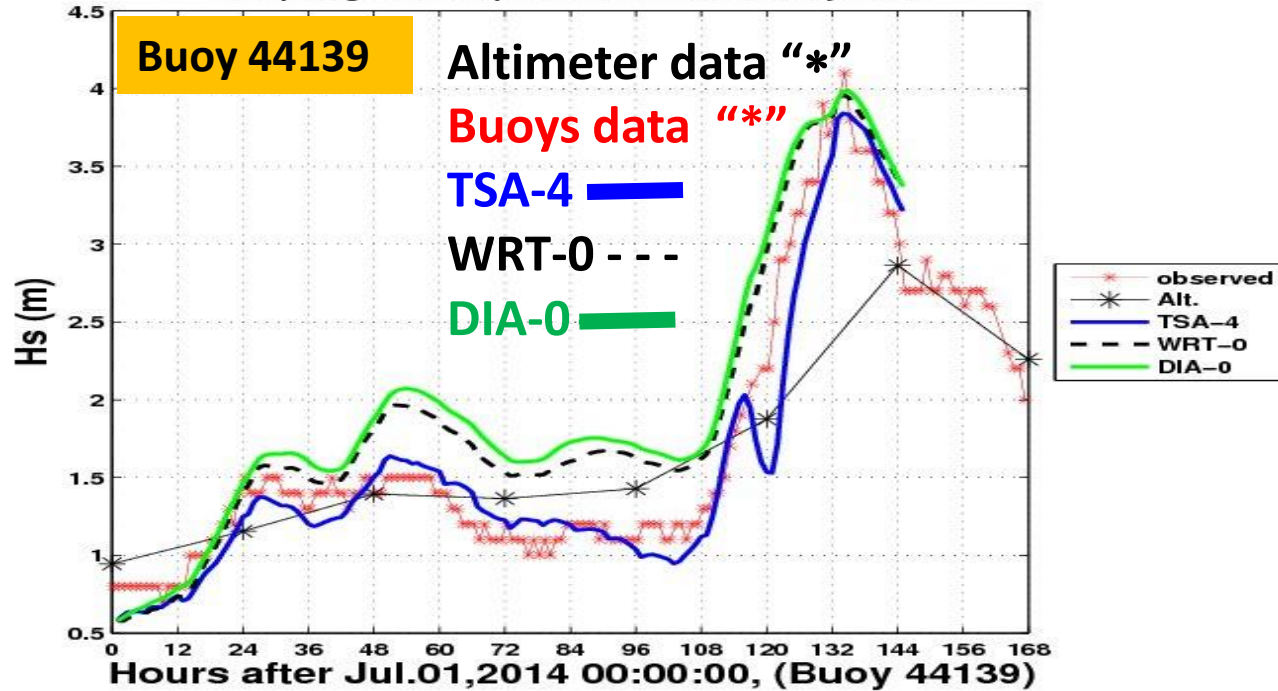
Comparison of altimeter data to Buoy Hs, Location 44258



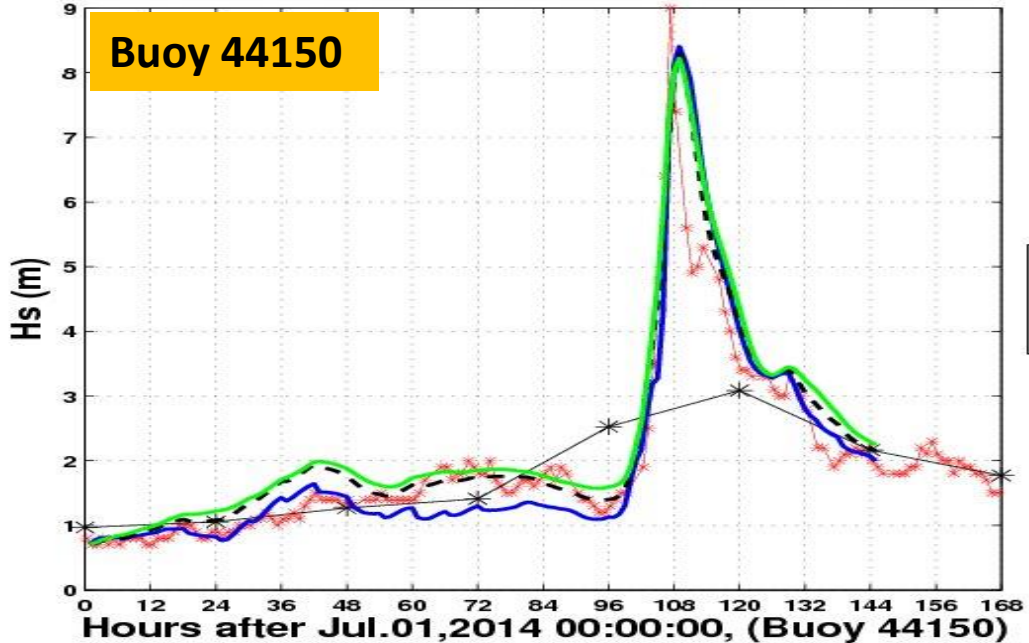
Comparing model output to observations at buoy 44137



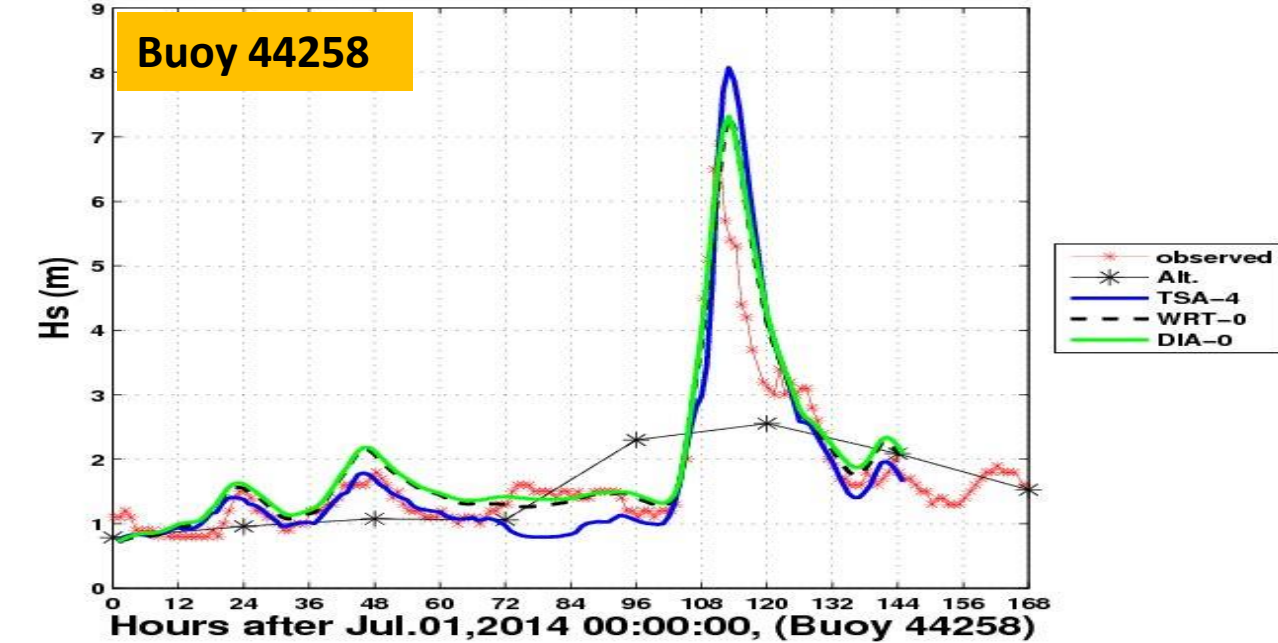
Comparing model output to observations at buoy 44139



Comparing model output to observations at buoy 44150

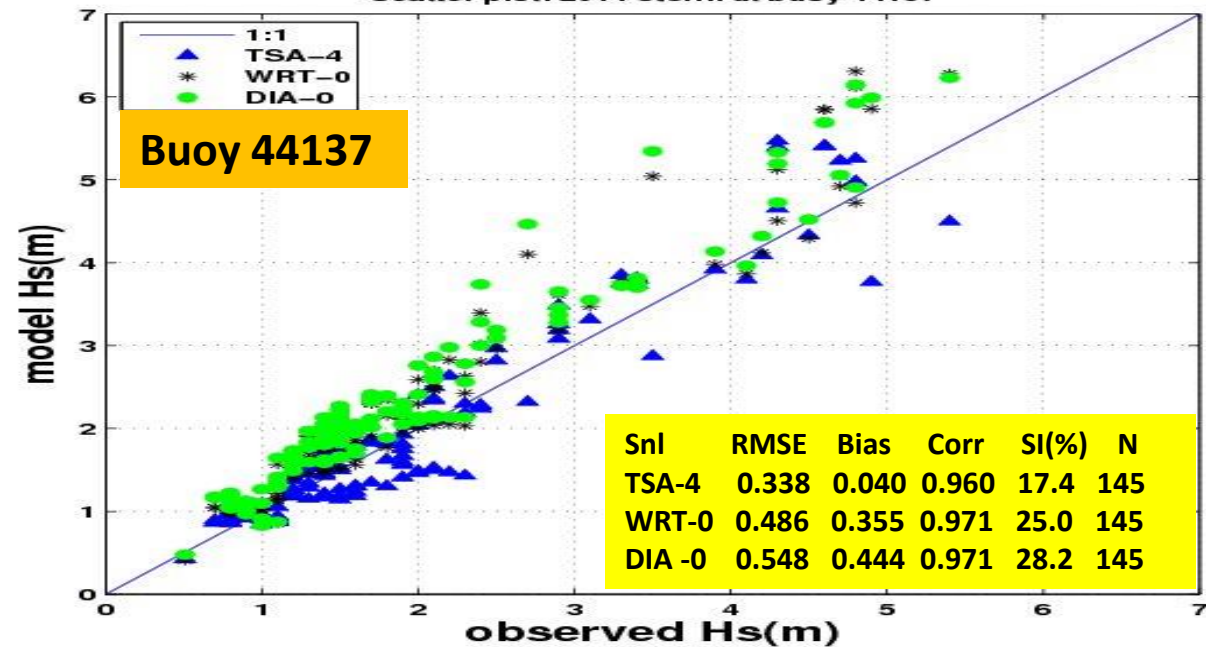


Comparing model output to observations at buoy 44258

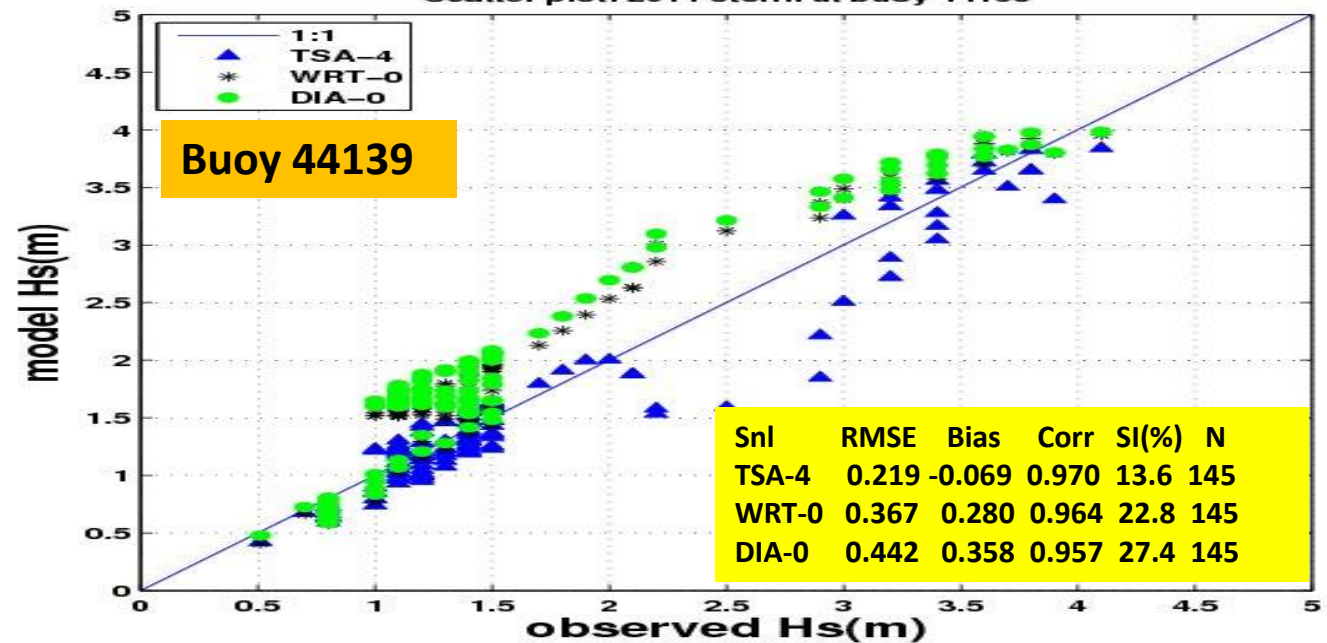




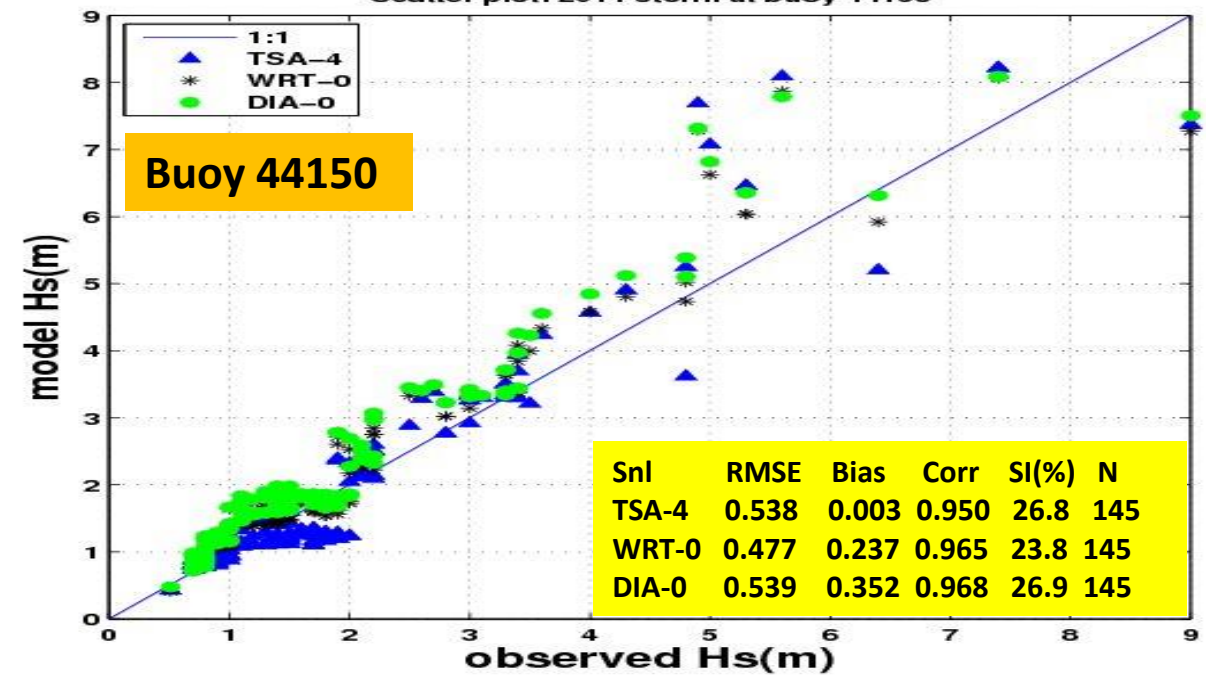
Scatter plot: 2014 storm at buoy 44137



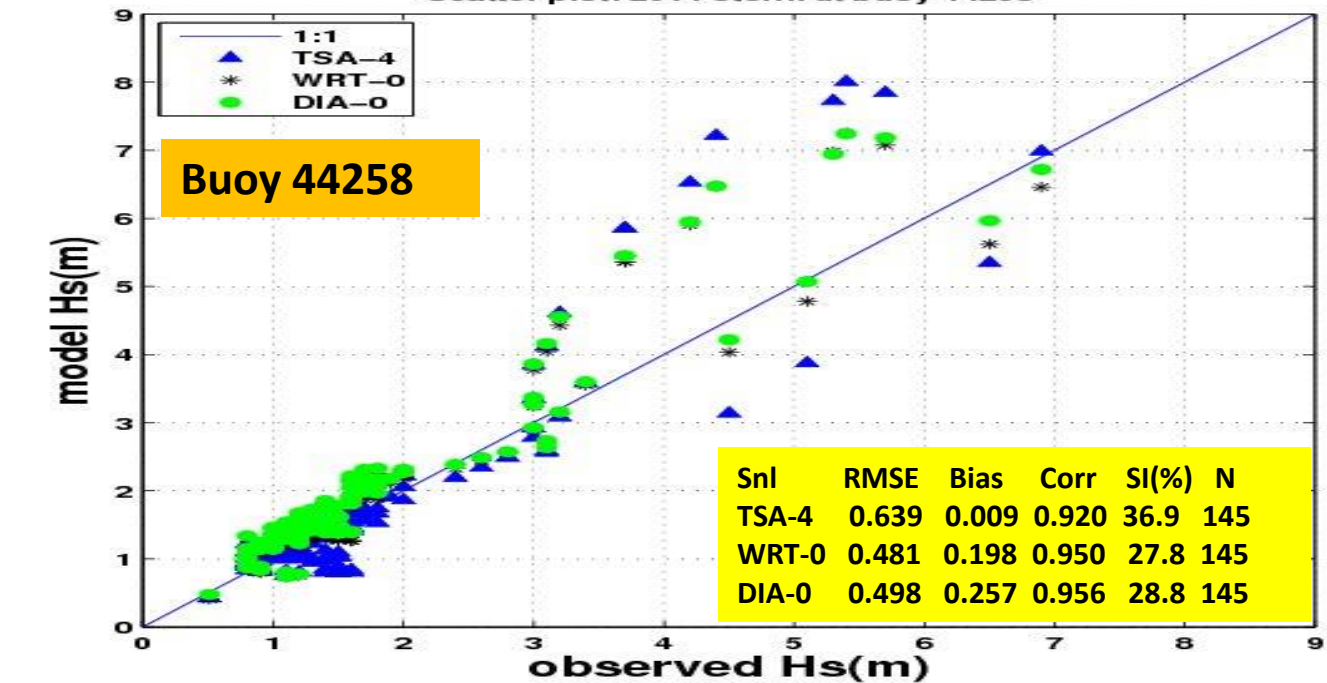
Scatter plot: 2014 storm at buoy 44139



Scatter plot: 2014 storm at buoy 44150



Scatter plot: 2014 storm at buoy 44258





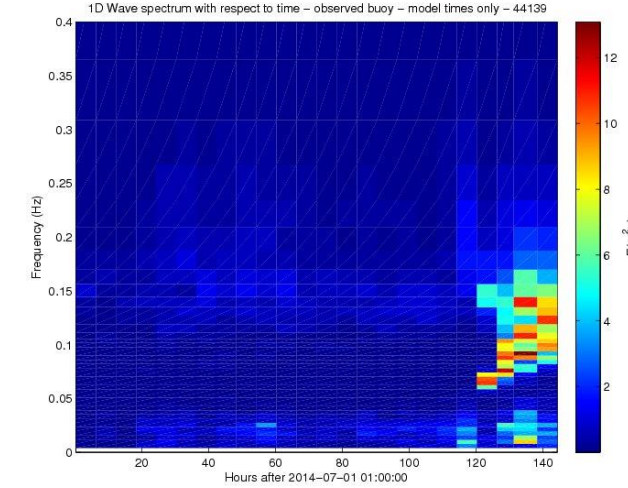
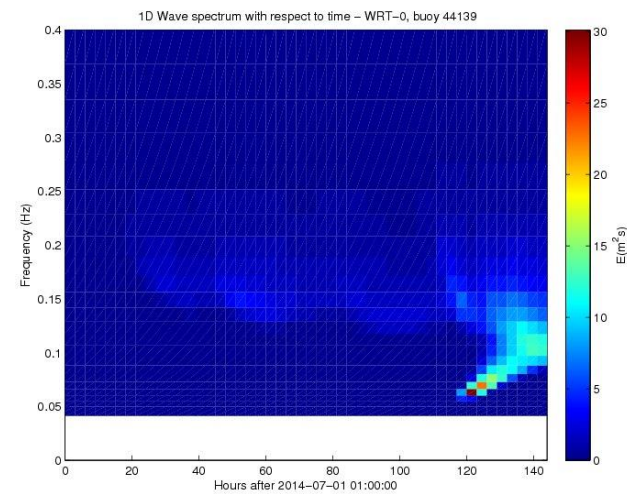
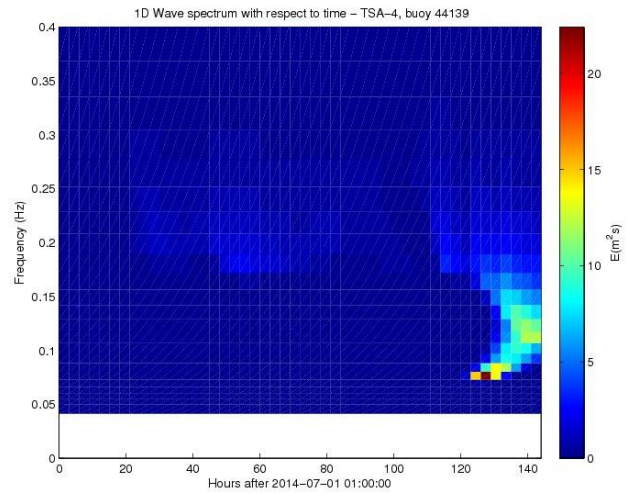
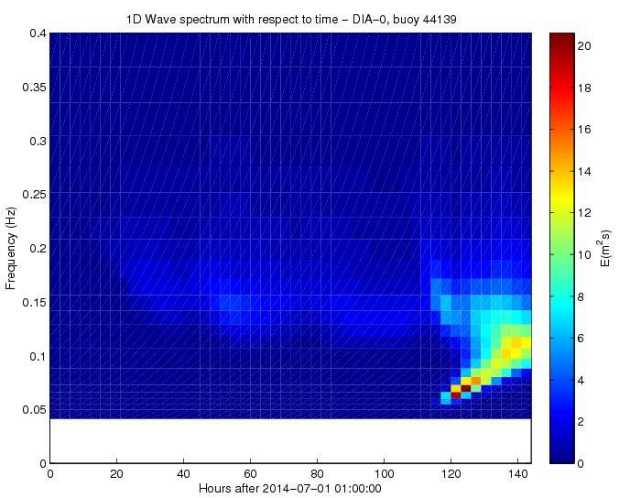
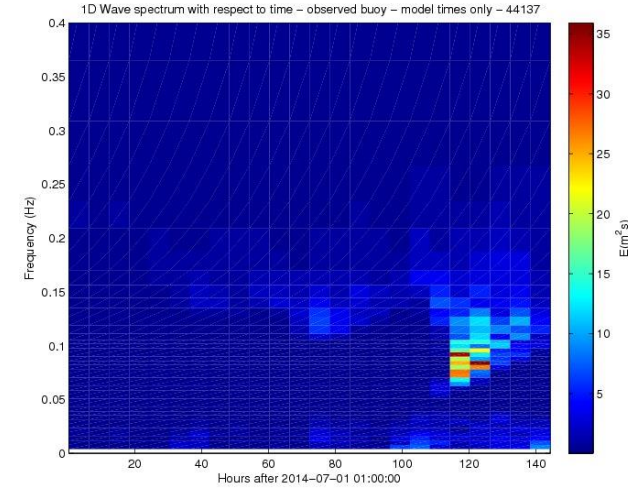
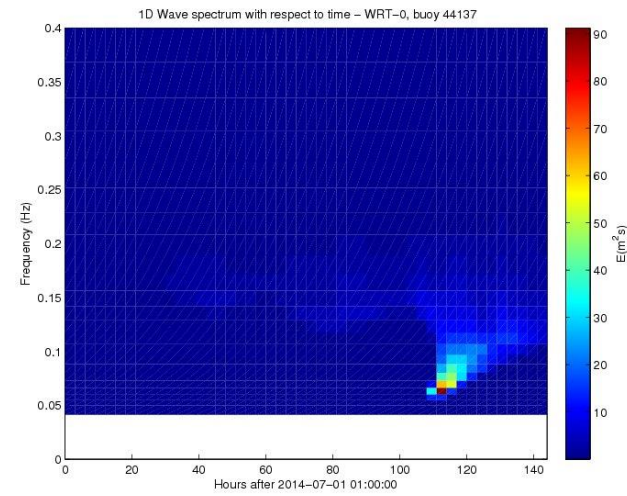
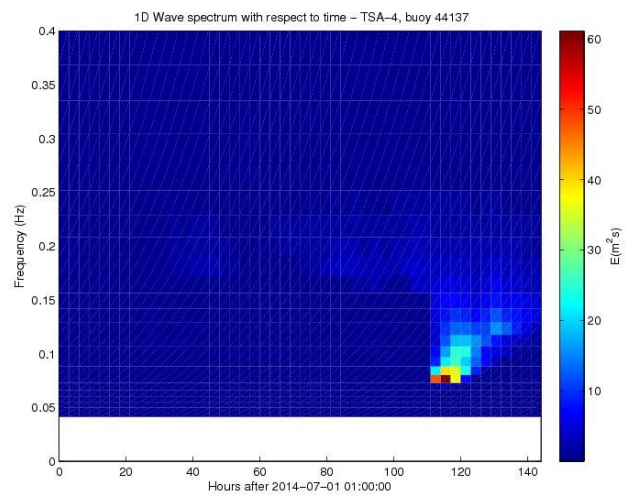
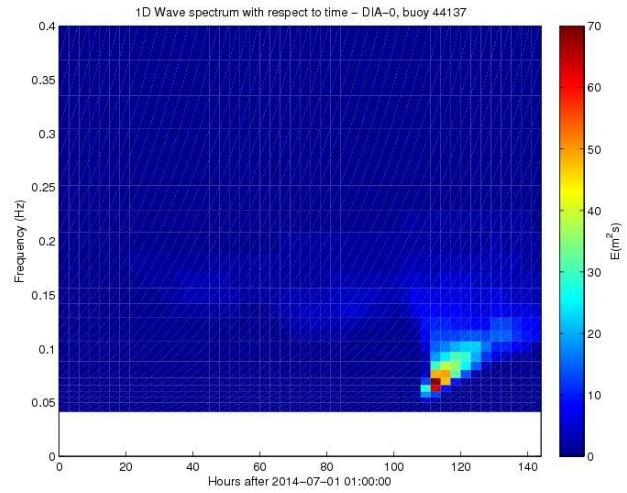
# 1D Energy time evolution at Buoy 44137 (top row) & Buoy 44139 (bottom row)

**DIA-0**

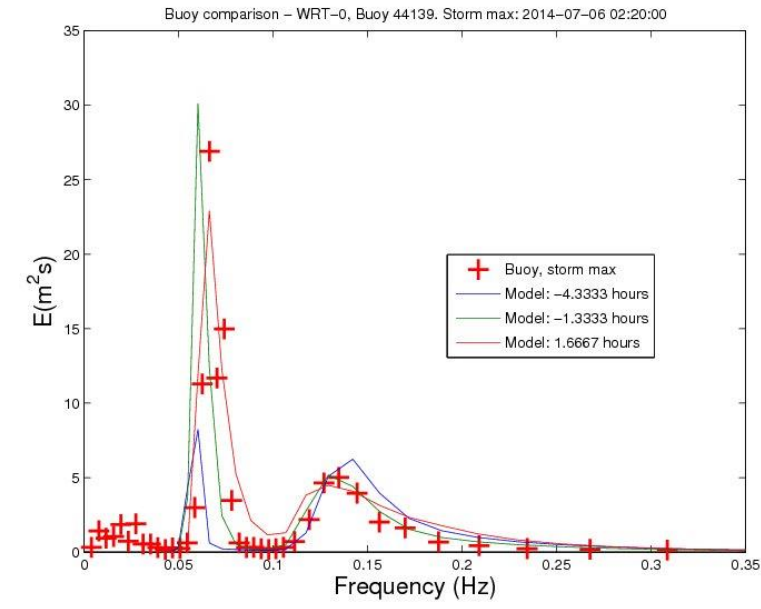
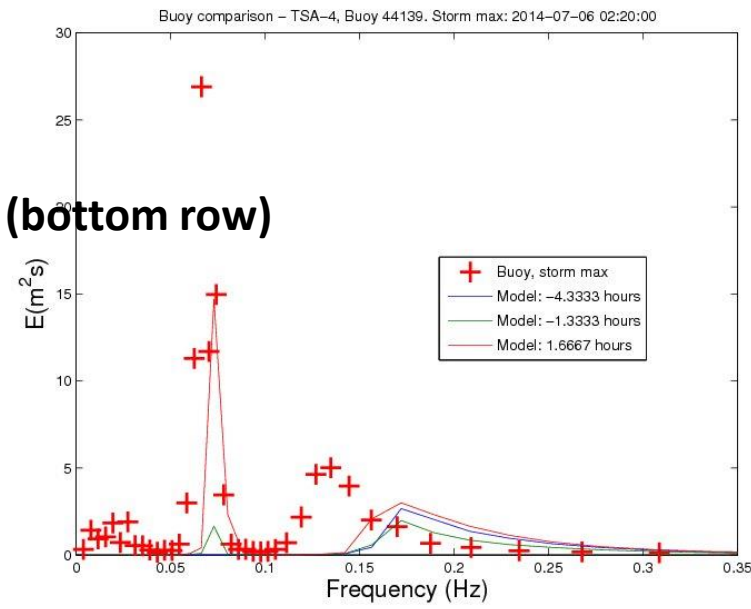
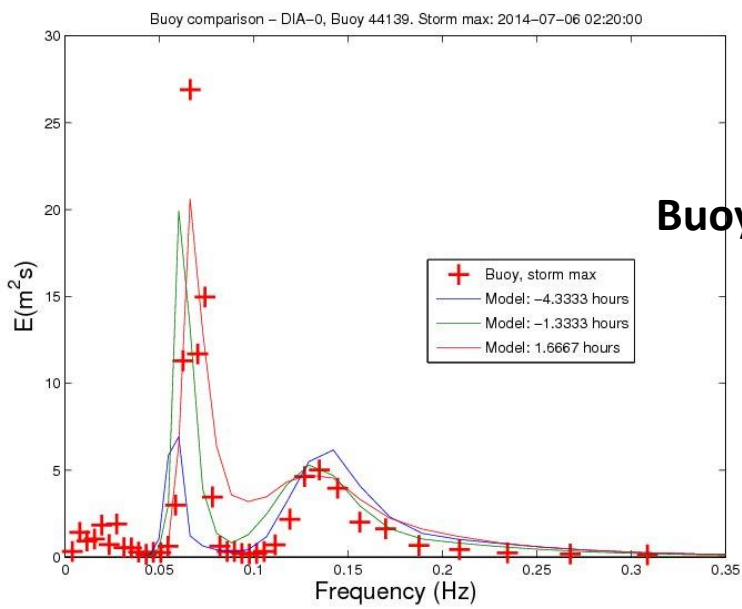
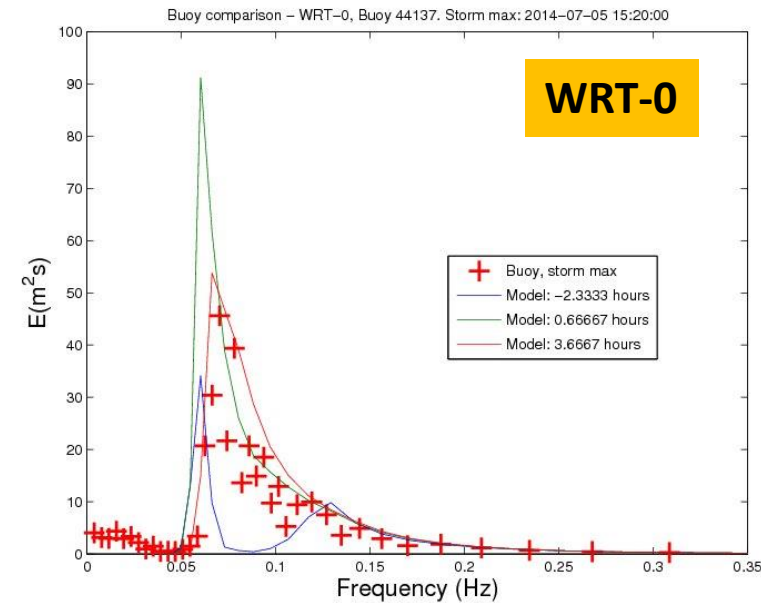
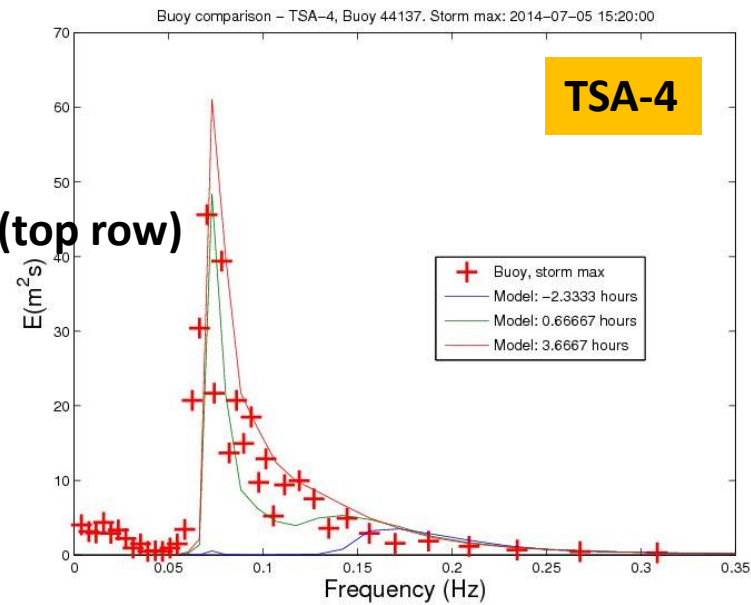
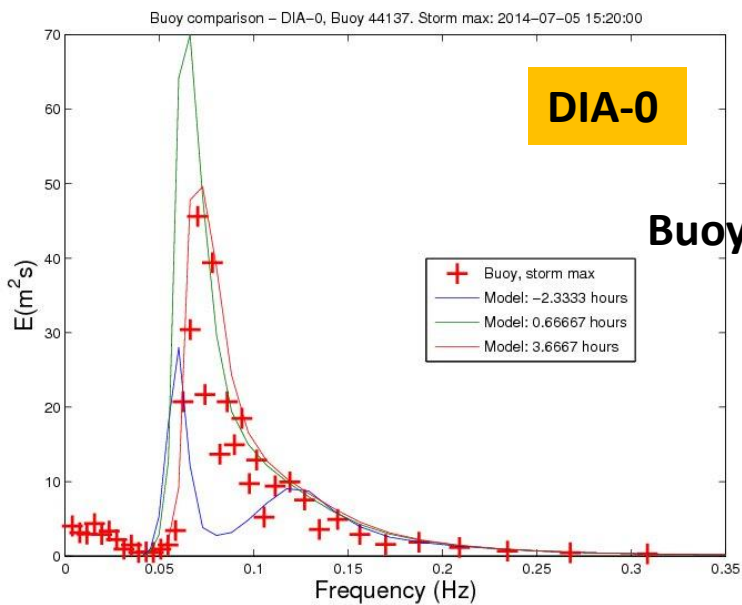
**TSA-4**

**WRT-0**

**Buoy**

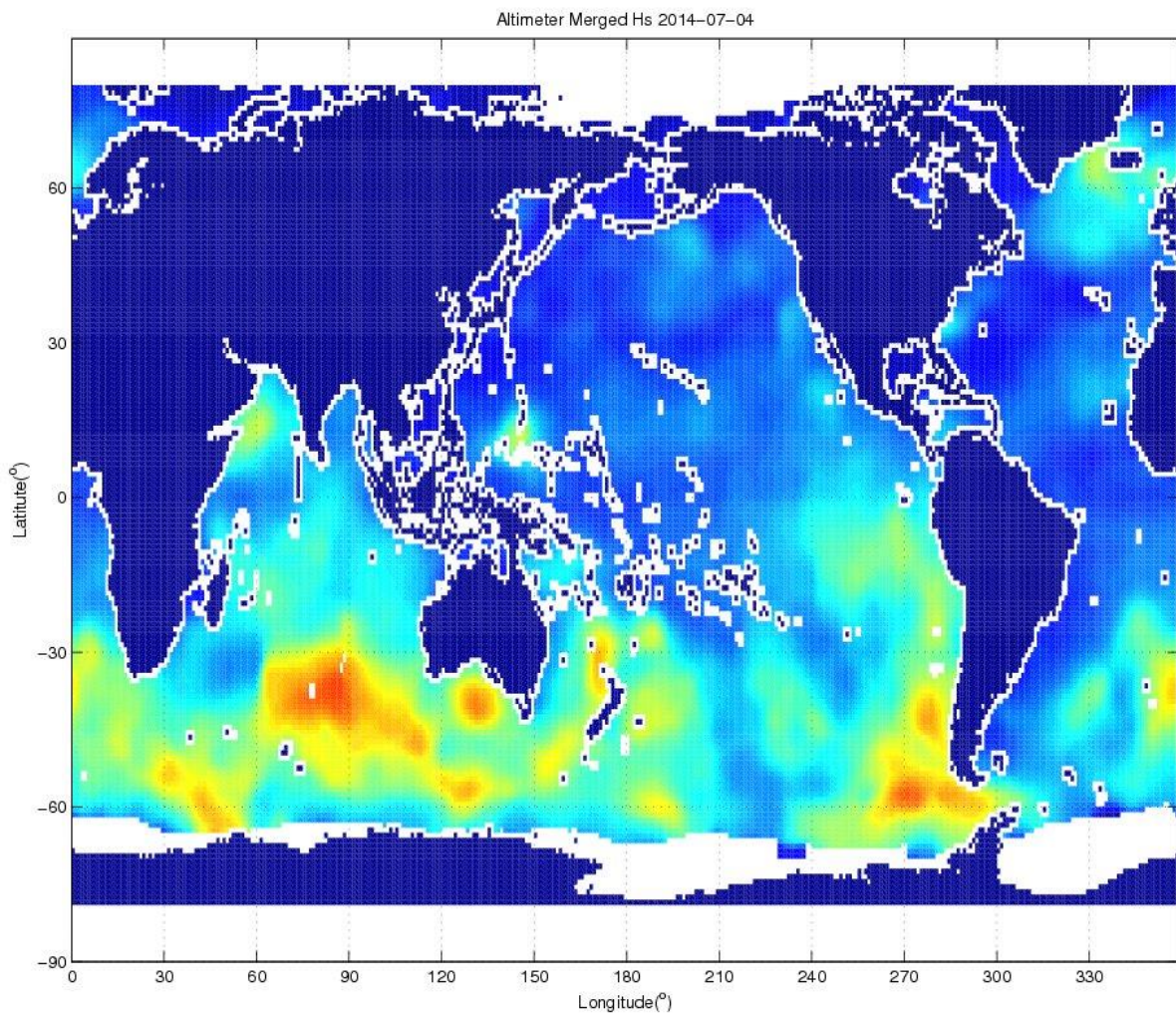


# 1D Energy comparison at storm peak +/- x hours at Buoy 44137 (top row) & Buoy 44139 (bottom row)

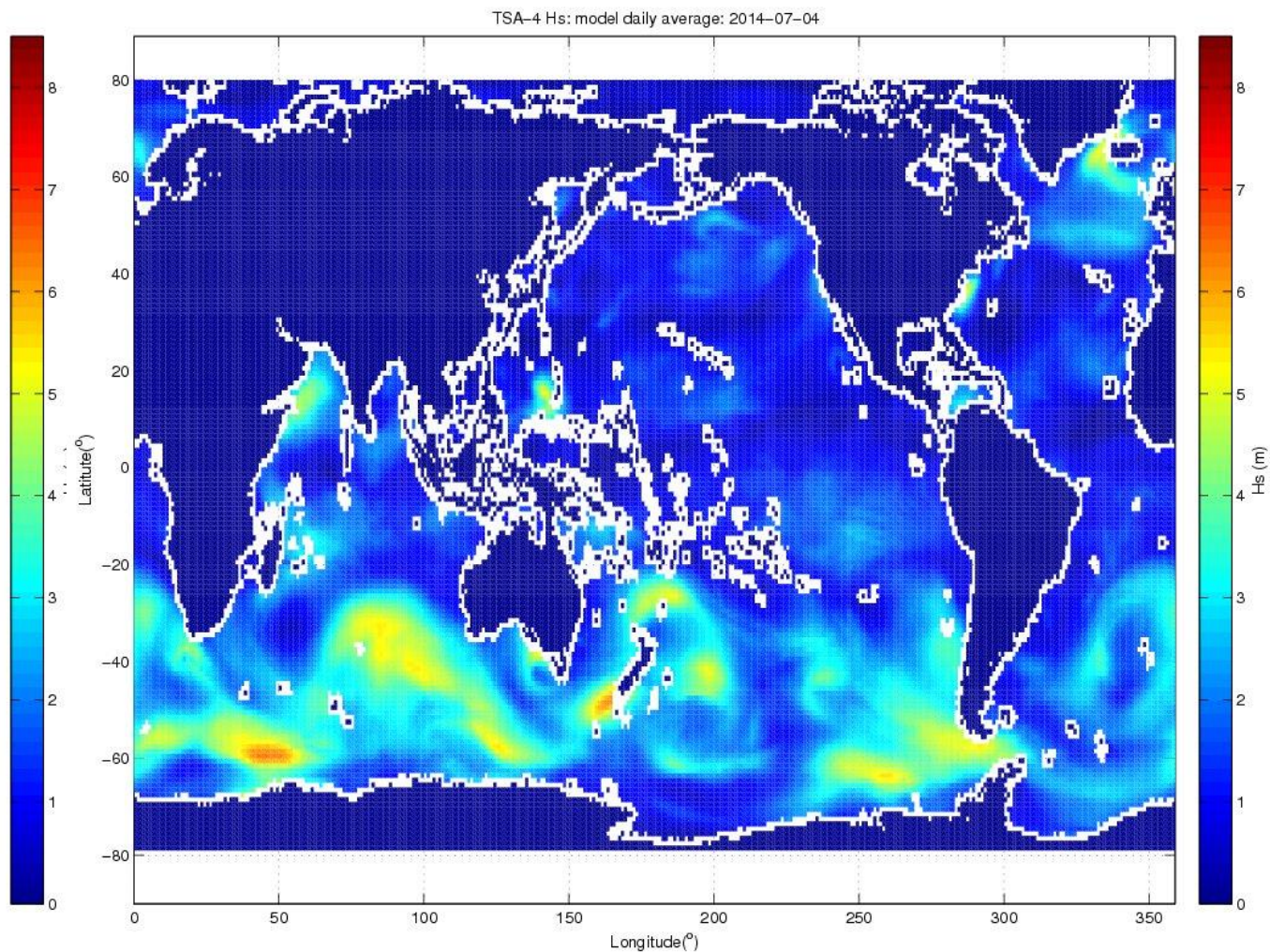




## Altimeter daily Hs average for 2014-07-04



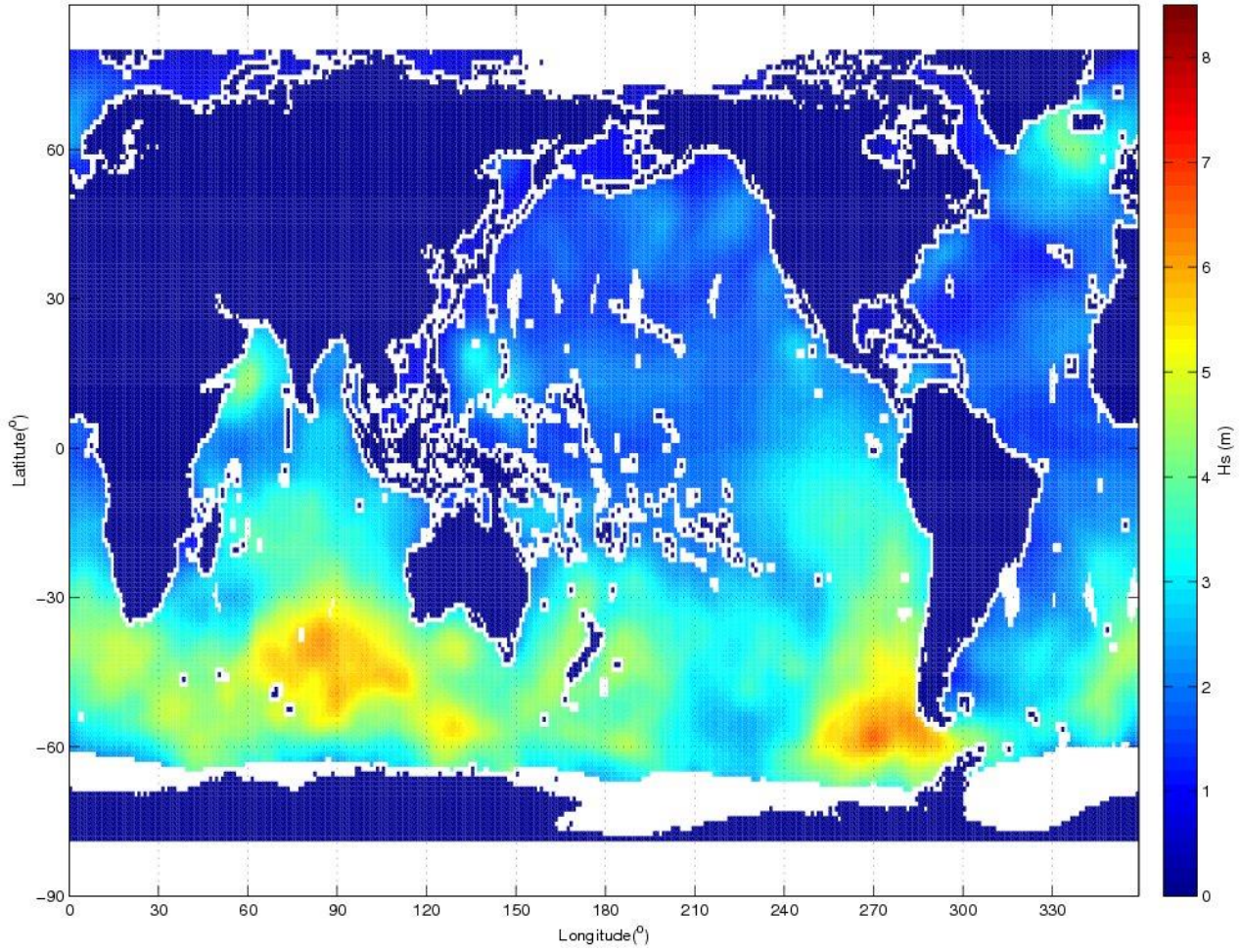
## TSA-4 model daily Hs average for 2014-07-04





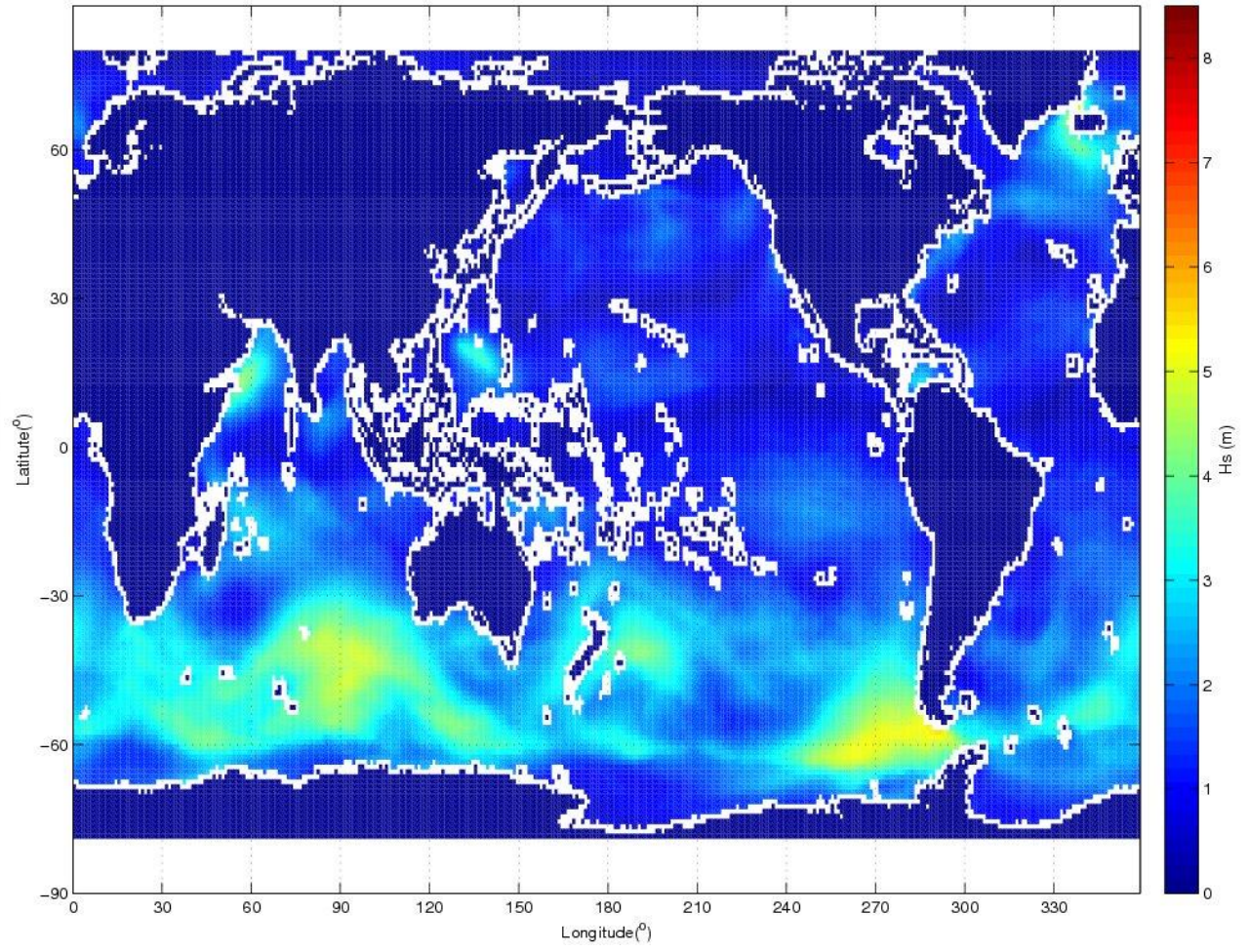
**Altimeter Hs average over 5 days**  
**2014-07-02 -> 2014-07-06**

Altimeter Hs: mean over time:  
2014-07-02 to 2014-07-06



**TSA-4 Hs average over 5 days**  
**2014-07-02 -> 2014-07-06**

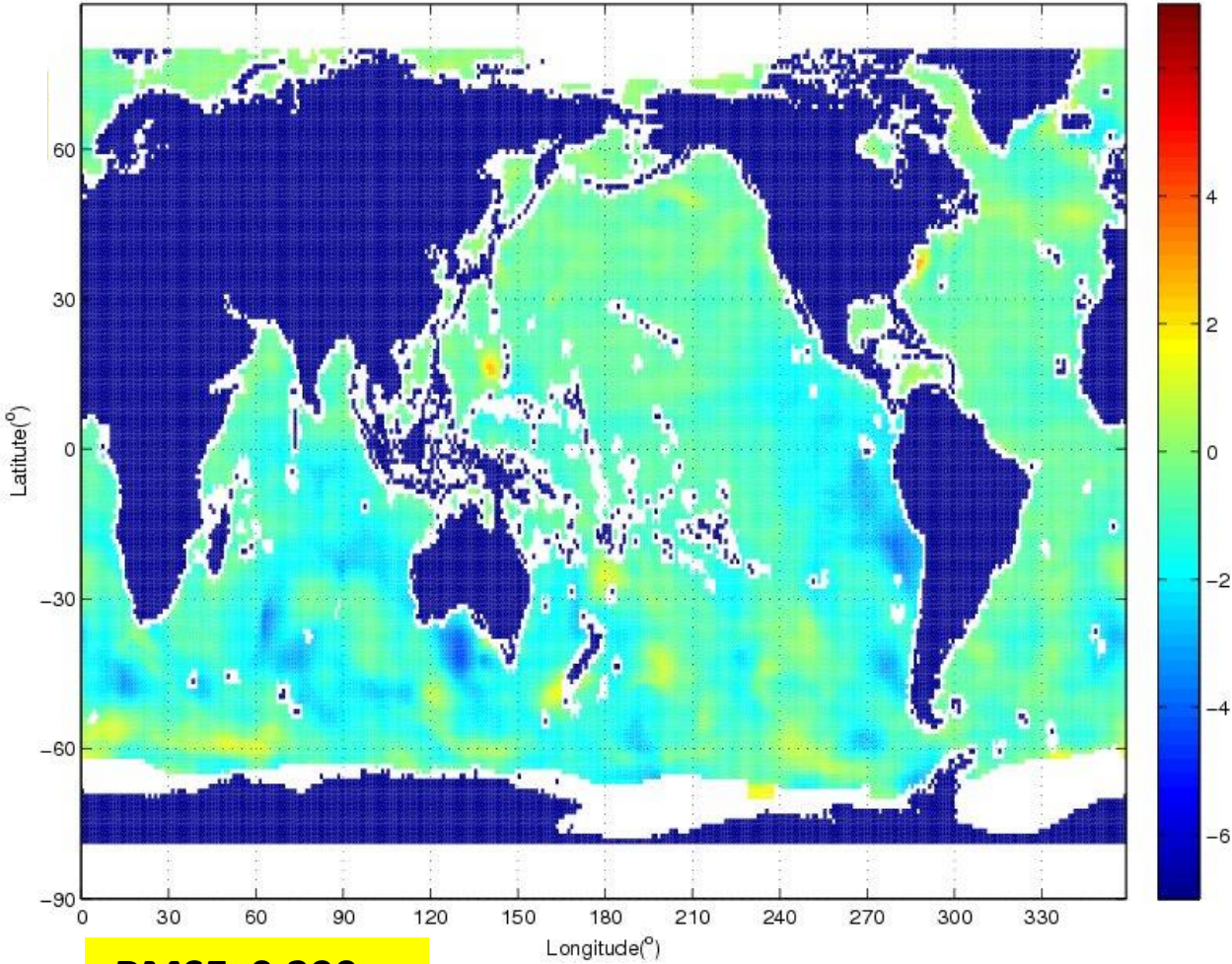
TSA-4 Hs: model mean on altimeter grid:  
2014-07-02 to 2014-07-06





**TSA-4: (model-altimeter) over one day**  
**2014-07-04**

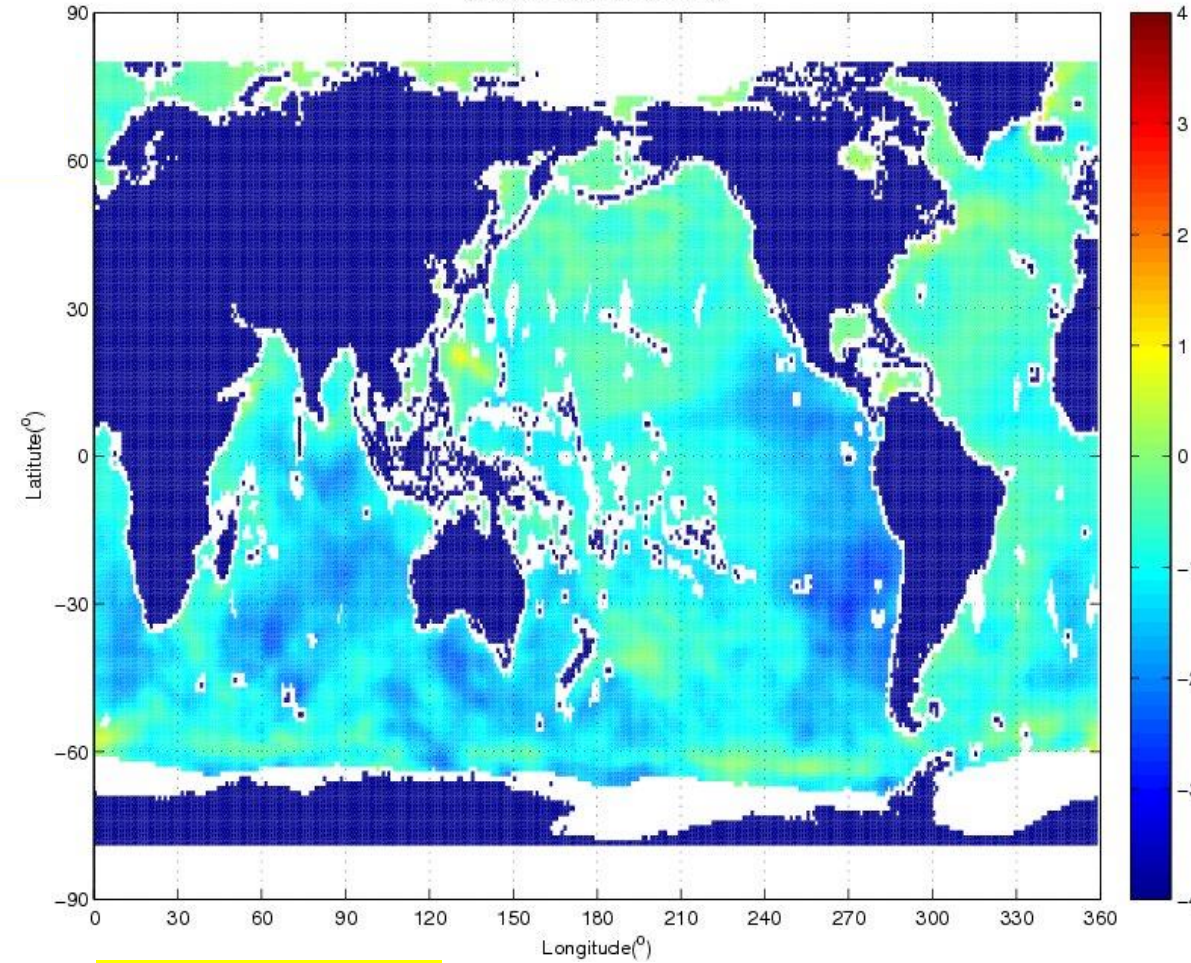
TSA-4 Hs: model - Altimeter: 2014-07-04



**RMSE 0.390**  
**Bias -0.347**  
**Corr 0.839**  
**N 28199**

**TSA-4: (model-altimeter) over 5 days**  
**2014-07-02 -> 2014-07-06**

TSA-4: Hs Model bias [mean(model) - mean(altimeter)]:  
2014-07-02 to 2014-07-06

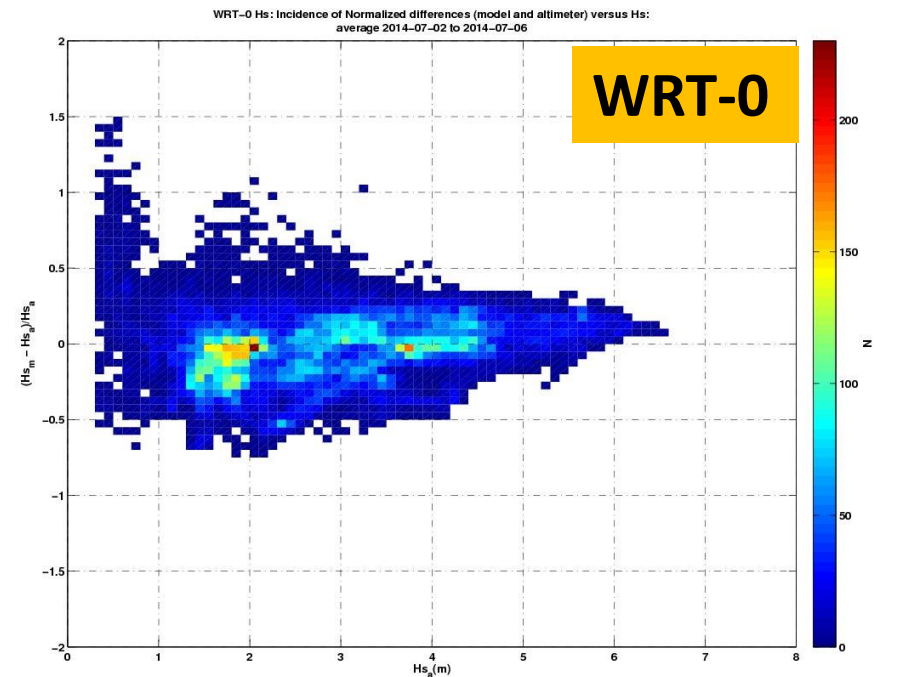
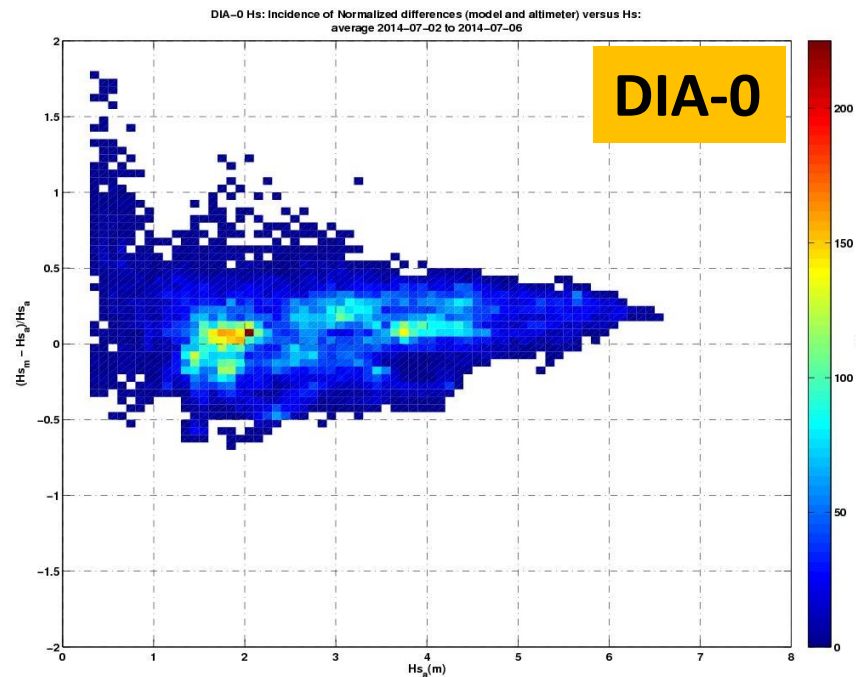
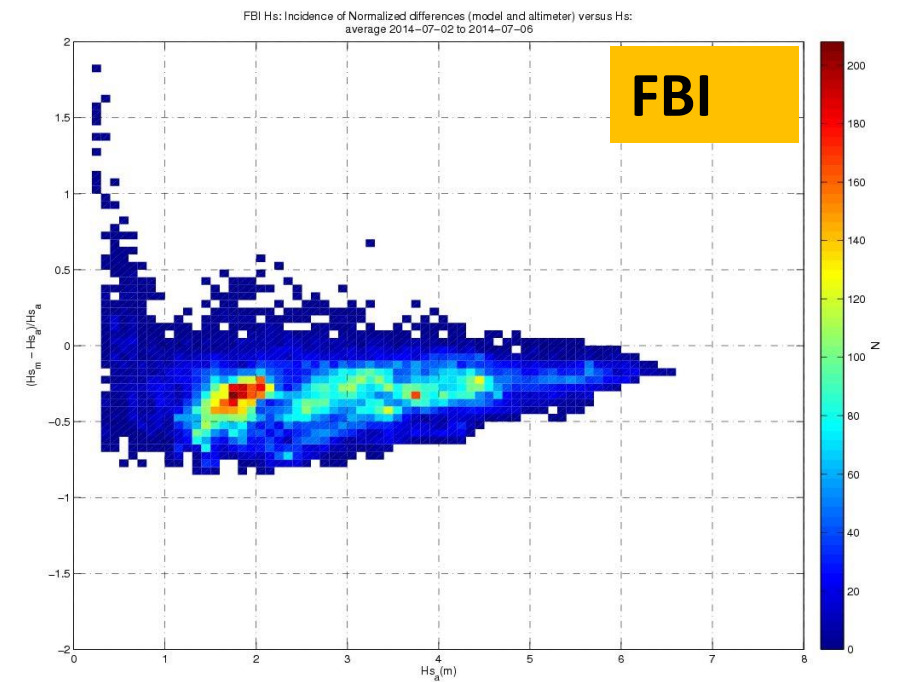
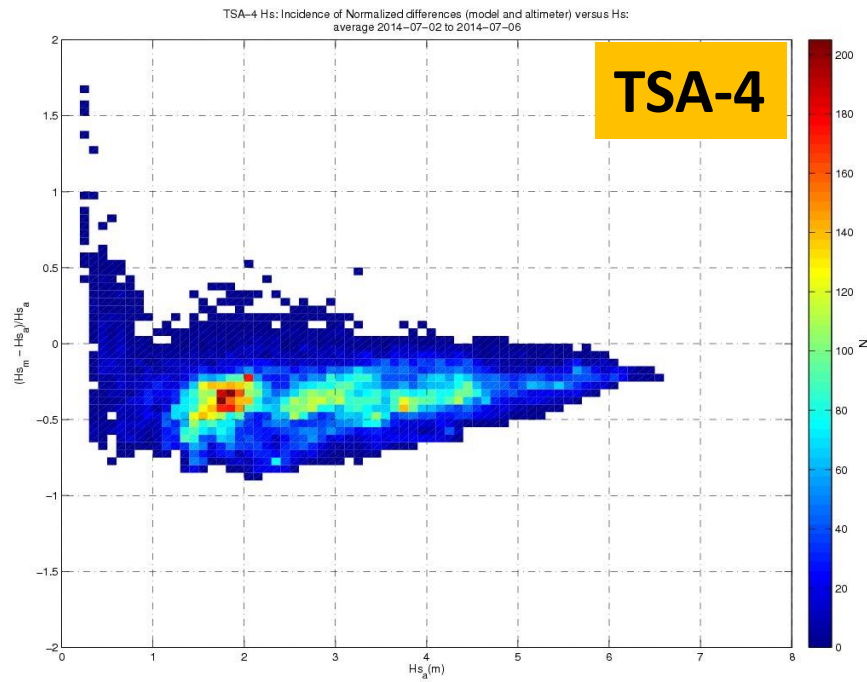


**RMSE 0.361**  
**Bias -0.349**  
**Corr 0.910**  
**N 27677**



# For Hurricane Arthur

Incidence of Normalized Differences vs alt. Hs over 5 days  
2014-07-02 -> 2014-07-06



# Hurricane Arthur

## TSA-4-statistics

yyyy-mm-dd	NRMSE	Bias	R	N
<u>2014-07-01</u>	0.612	-0.565	0.673	28135
2014-07-02	0.481	-0.443	0.793	28146
2014-07-03	0.424	-0.384	0.826	27991
2014-07-04	0.390	-0.347	0.839	28199
2014-07-05	0.358	-0.301	0.835	28064
2014-07-06	<b>0.332</b>	-0.274	0.871	28188
<u>2014-07-07</u>	<b>0.337</b>	-0.272	0.871	28046
total (d2-6)	0.361	-0.349	0.910	27677

## FBI-2-statistics

yyyy-mm-dd	NRMSE	Bias	R	N
<u>2014-07-01</u>	0.603	-0.553	0.669	28135
2014-07-02	0.458	-0.409	0.790	28146
2014-07-03	0.392	-0.341	0.833	27991
2014-07-04	0.355	-0.303	0.848	28199
2014-07-05	0.324	-0.253	0.846	28064
2014-07-06	<b>0.300</b>	-0.225	0.879	28188
<u>2014-07-07</u>	<b>0.306</b>	-0.224	0.881	28046
total (d2-6)	0.322	-0.306	0.917	27677

## DIA-0-statistics

yyyy-mm-dd	NRMSE	Bias	R	N
<u>2014-07-01</u>	0.507	-0.422	0.686	28135
2014-07-02	0.327	-0.103	0.819	28146
2014-07-03	0.306	0.047	0.862	27991
2014-07-04	0.306	0.123	0.870	28199
2014-07-05	0.359	0.194	0.865	28064
2014-07-06	0.393	0.232	0.888	28188
<u>2014-07-07</u>	0.397	0.239	0.902	28046
total (d2-6)	0.223	0.099	0.938	27677

## WRT-0-statistics

yyyy-mm-dd	NRMSE	Bias	R	N
<u>2014-07-01</u>	0.541	-0.471	0.678	28135
2014-07-02	0.348	-0.202	0.808	28146
2014-07-03	0.288	-0.066	0.853	27991
2014-07-04	0.263	0.008	0.865	28199
2014-07-05	0.297	0.079	0.860	28064
2014-07-06	0.319	0.116	0.888	28188
<u>2014-07-07</u>	0.327	0.123	0.899	28046
total (d2-6)	0.177	-0.013	0.935	27677

# Hurricane Patricia

max winds 185kt=343km/h

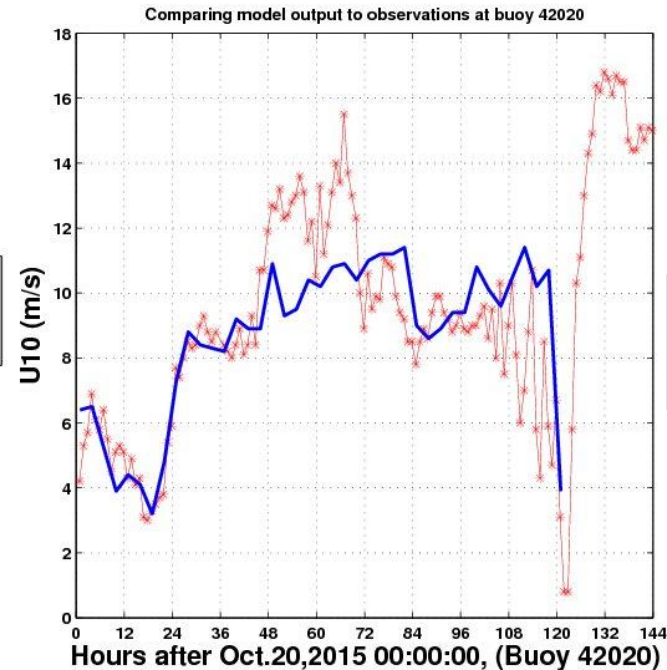
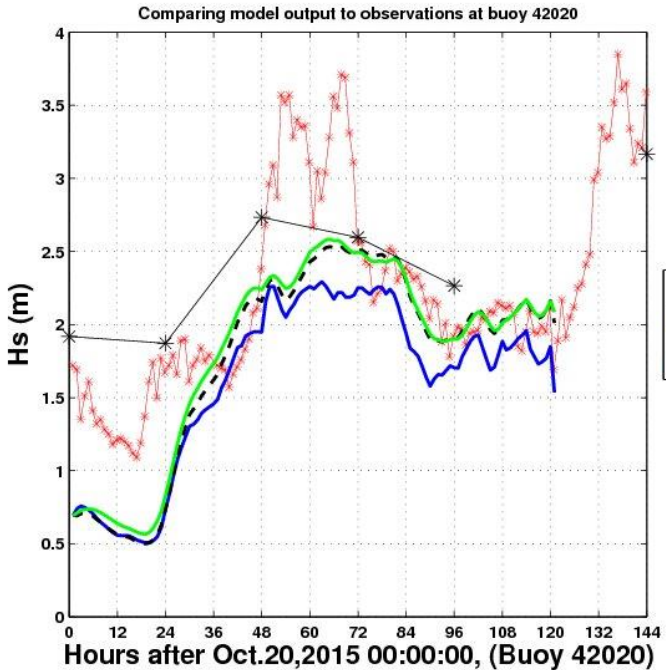
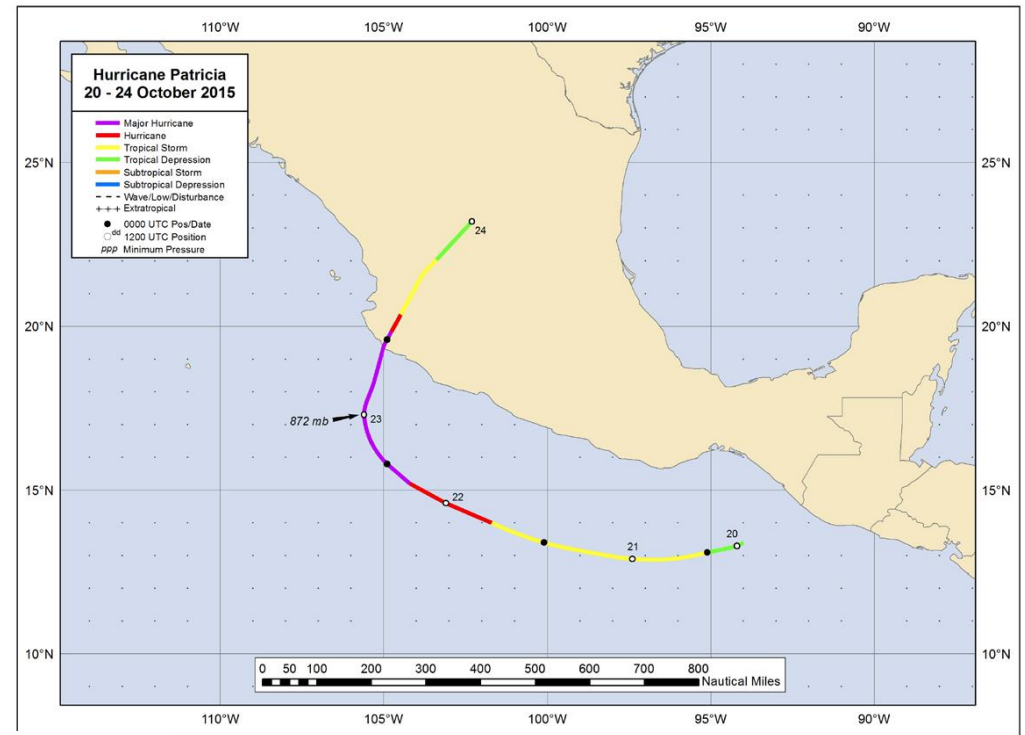
2015 10 20 01

on 2015 10 23 12

-> 2015 10 25 01

Only few buoys available. All buoys are to left of the track and close to the coast. Need to find some altimeter tracks in that area.

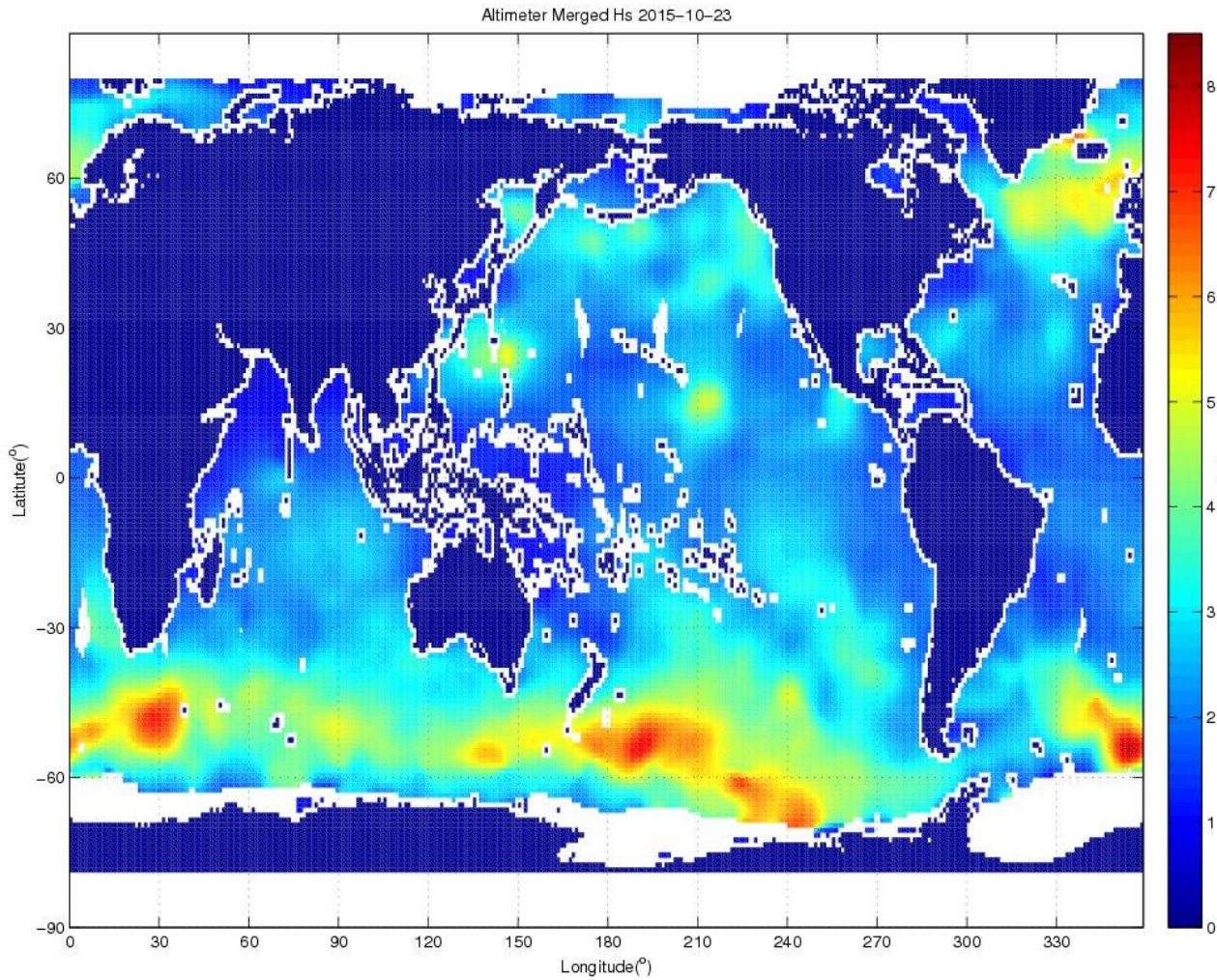
## Buoy 42020



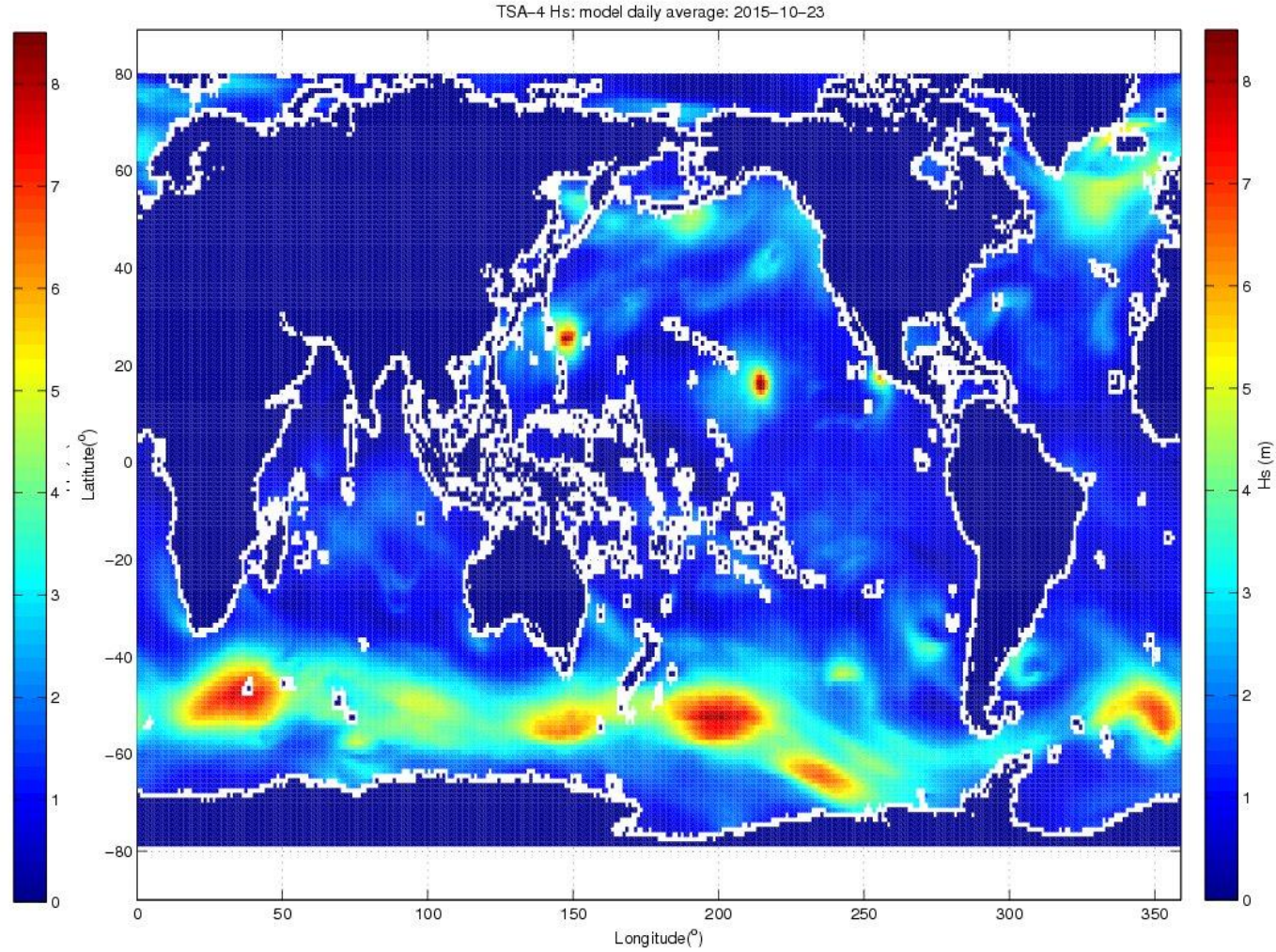
model  
Obs.



## Altimeter daily Hs average for 2015-10-23



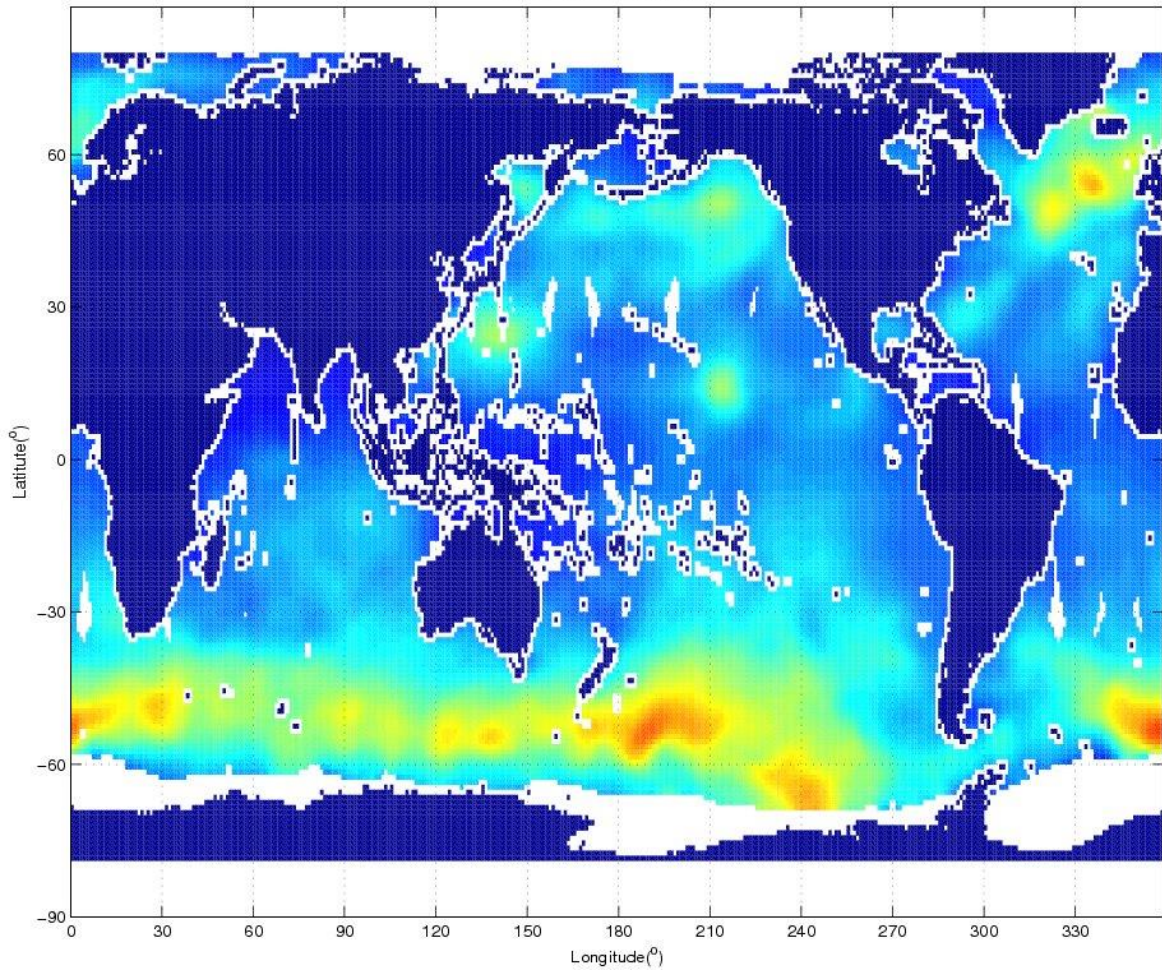
## TSA-4 model daily Hs average for 2015-10-23





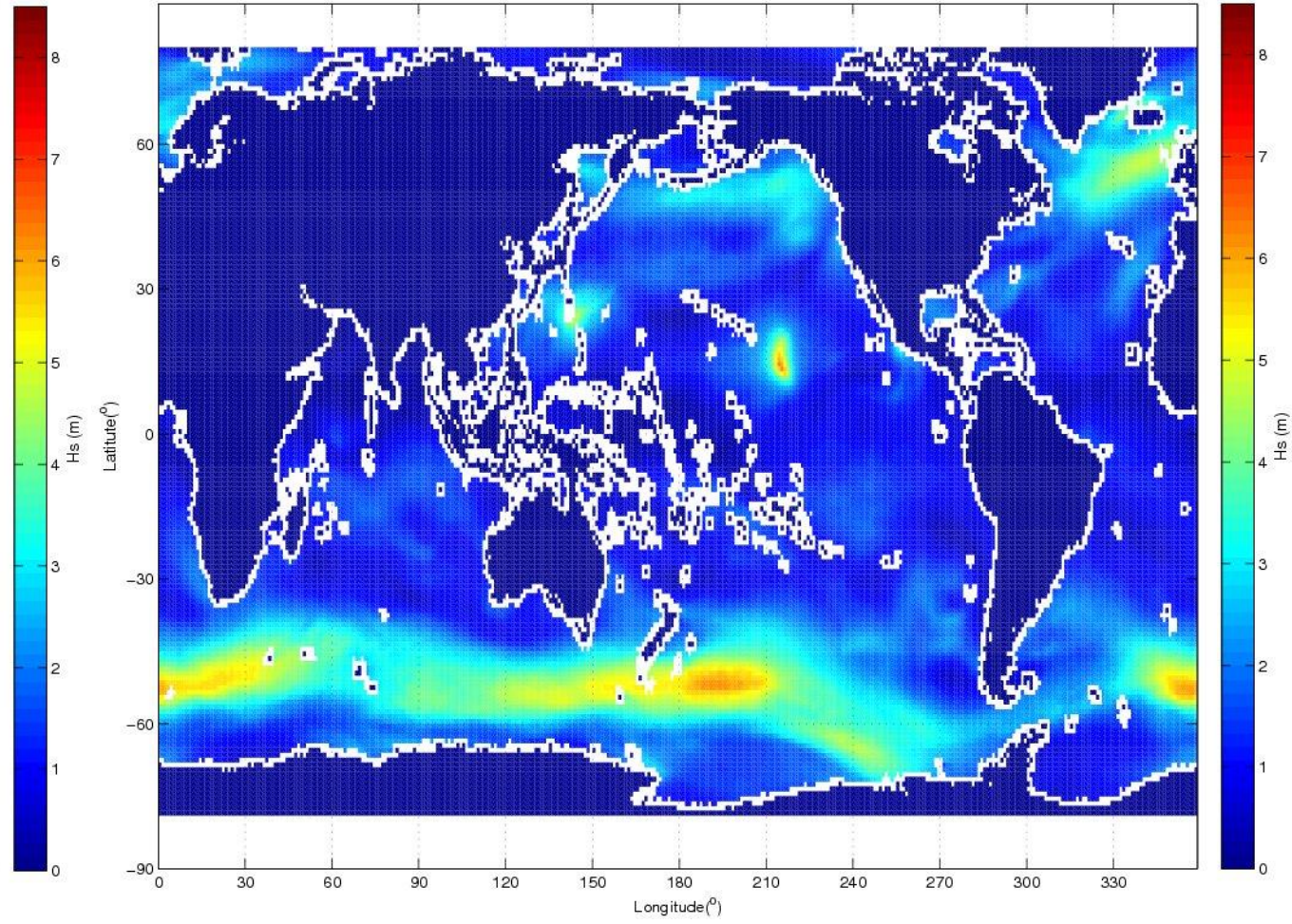
**Altimeter Hs average over 4 days**  
**2015-10-21 -> 2015-10-24**

Altimeter Hs: mean over time:  
2015-10-21 to 2015-10-24



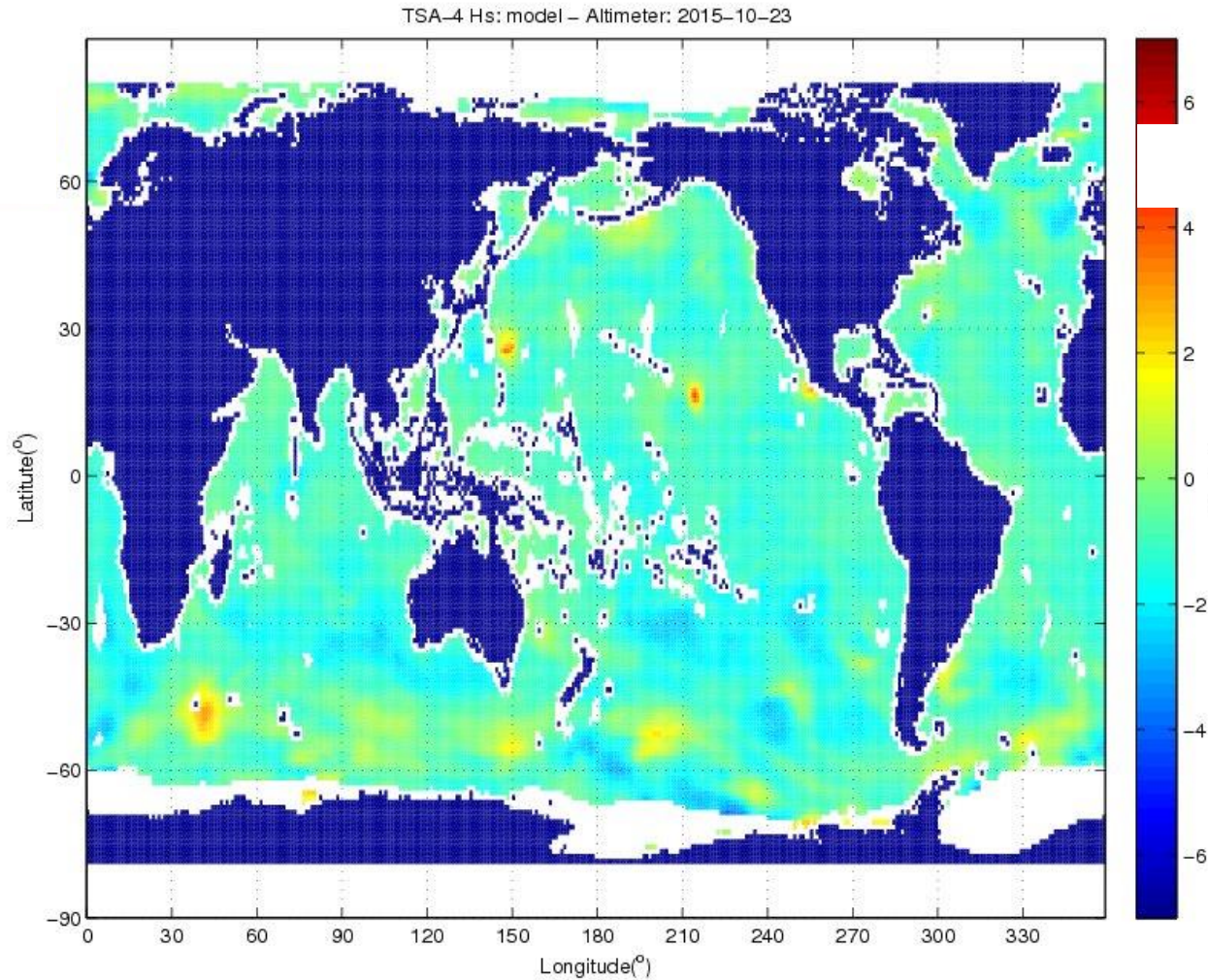
**TSA-4 Hs average over 4 days**  
**2015-10-21 -> 2015-10-24**

TSA-4 Hs: model mean on altimeter grid:  
2015-10-21 to 2015-10-24



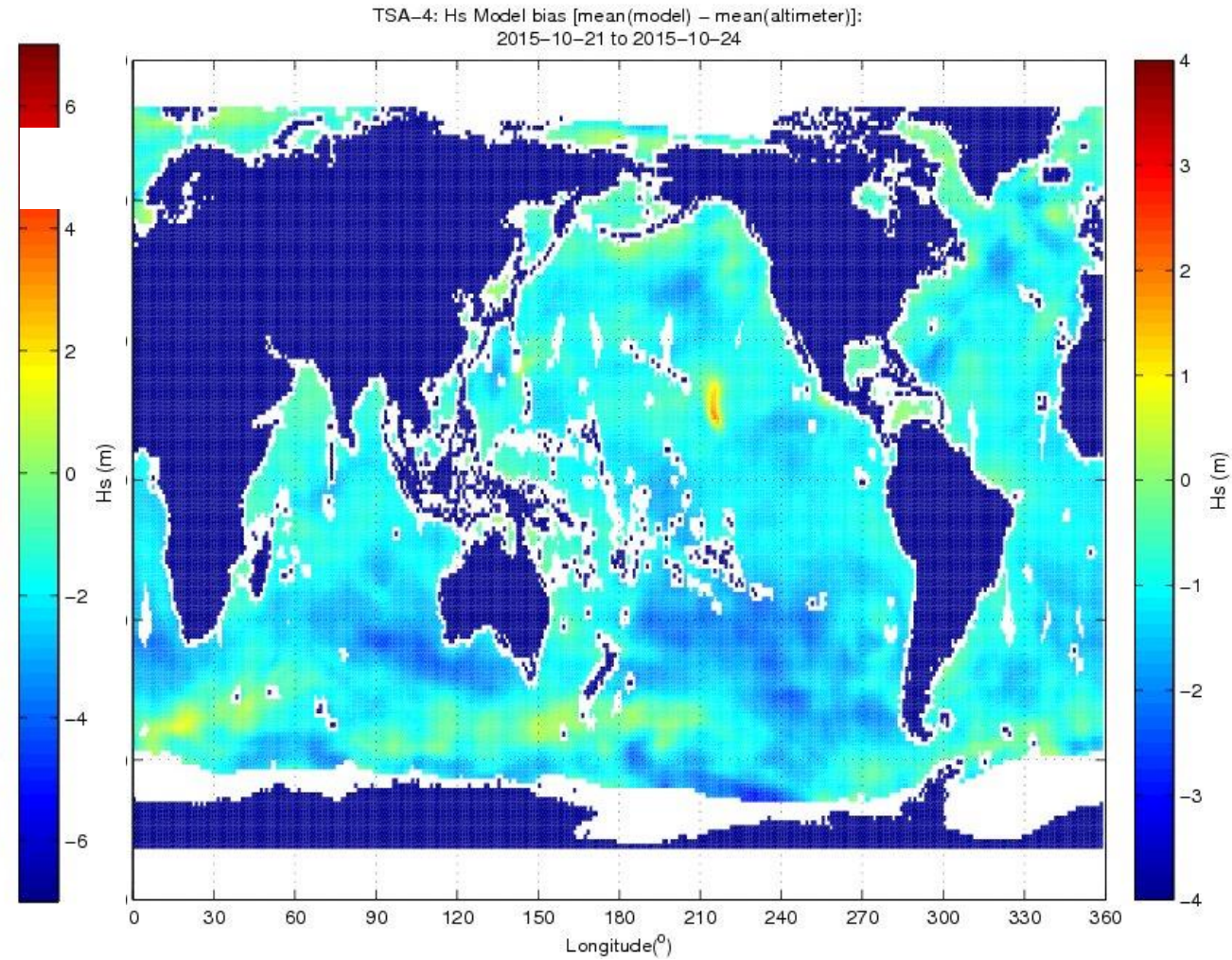


**TSA-4: (model-altimeter) over one day  
2015-10-23**



**RMSE 0.376**  
**Bias -0.340**  
**Corr 0.882**  
**N 27893**

**TSA-4: (model-altimeter) over 4 days  
2015-10-21 -> 2015-10-24**



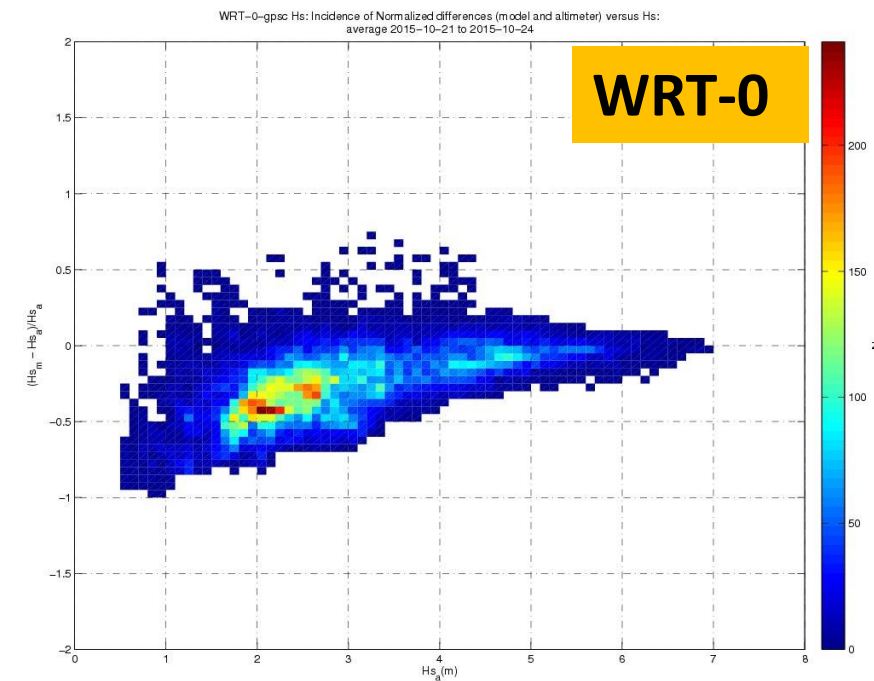
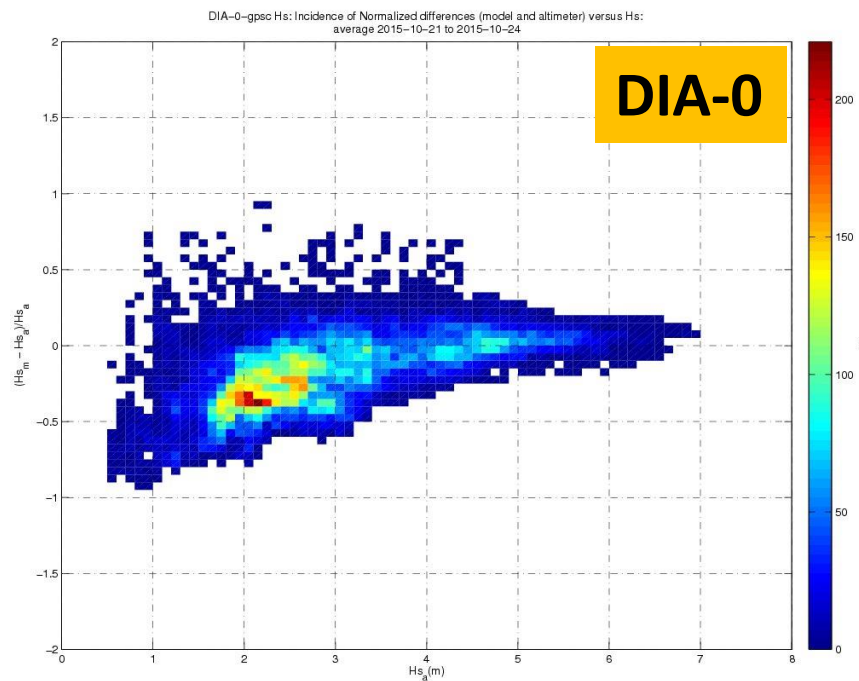
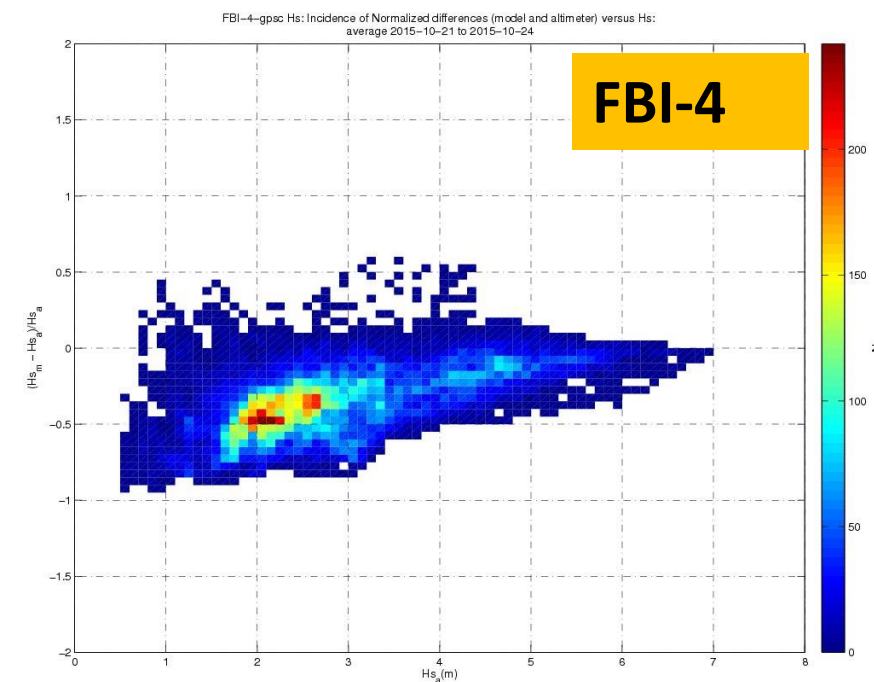
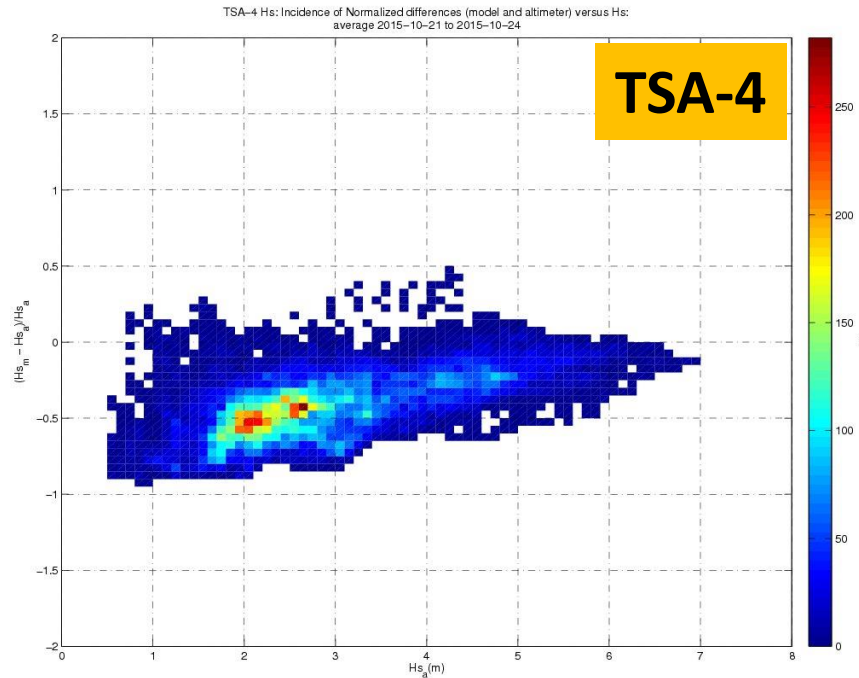
**RMSE 0.380**  
**Bias -0.374**  
**Corr 0.919**  
**N 27577**



# For Hurricane Patricia

Incidence of Normalized differences vs Hs over 5 days

2014-07-02 -> 2014-07-06





## Hurricane Patricia

### TSA-4-statistics

yyyy-mm-dd	NRMSE	Bias	R	N
<u>2015-10-20</u>	0.612	-0.585	0.667	28022
2015-10-21	0.489	-0.460	0.785	27906
2015-10-22	0.416	-0.383	0.856	28079
2015-10-23	0.376	-0.340	0.882	27893
2015-10-24	<b>0.355</b>	-0.309	0.874	28098
<u>2015-10-25</u>	<b>0.351</b>	-0.302	0.881	27808
Total (d2-5)	0.380	-0.374	0.919	27557

### FBI-4-statistics

yyyy-mm-dd	NRMSE	Bias	R	N
<u>2015-10-20</u>	0.593	-0.559	0.658	28022
2015-10-21	0.451	-0.402	0.778	27906
2015-10-22	0.368	-0.312	0.862	28079
2015-10-23	0.327	-0.263	0.889	27893
2015-10-24	<b>0.311</b>	-0.230	0.873	28098
<u>2015-10-25</u>	<b>0.308</b>	-0.223	0.879	27808
Total (d2-5)	0.324	-0.303	0.924	27557

### DIA-0-statistics

yyyy-mm-dd	NRMSE	Bias	R	N
<u>2015-10-20</u>	0.562	-0.521	0.668	28022
2015-10-21	0.370	-0.275	0.798	27906
2015-10-22	0.278	-0.142	0.882	28079
2015-10-23	0.239	-0.069	0.916	27893
2015-10-24	<b>0.236</b>	-0.017	0.890	28098
<u>2015-10-25</u>	<b>0.229</b>	-0.011	0.902	27808
Total (d2-5)	0.207	-0.128	0.945	27557

### WRT-0-statistics

yyyy-mm-dd	NRMSE	Bias	R	N
<u>2015-10-20</u>	0.591	-0.558	0.664	28022
2015-10-21	0.414	-0.353	0.792	27906
2015-10-22	0.310	-0.231	0.879	28079
2015-10-23	0.258	-0.161	0.912	27893
2015-10-24	<b>0.242</b>	-0.111	0.890	28098
<u>2015-10-25</u>	<b>0.236</b>	-0.105	0.900	27808
Total (d2-5)	0.252	-0.216	0.941	27557

## Comparing Efficiency:

Running on my engineering workstation Dell Precision T7910 Dual\_Xeon\_E5\_2660v4 using only 24 processors.

<b>SnI#</b>	<b>Cpu-time (hrs)</b>	<b>Ratio/DIA-time</b>
<b>DIA-0</b>	<b>1.65 h</b>	<b>1.0</b>
<b>TSA-4</b>	<b>44.14 h</b>	<b>26.5</b>
<b>FBI-4</b>	<b>49.80 h</b>	<b>30.2</b>
<b>WRT-0</b>	<b>182.52 h</b>	<b>110.7</b>

## Conclusions:

1. Early results are encouraging: on efficiency, the cost of running TSA is about **25 x** that of DIA and **5 x** faster than WRT; on accuracy, the normalized-root-mean-squared error and the bias from TSA are comparable to those of WRT.
2. **Tuning** done for TSA/FBI was **not enough**, although, in the right direction. **More tuning** needs to be done. Note: for the Northeast tuning of some ST4 parameters was also necessary to achieve the best accuracy and lower the rmse, [see Perrie et al. (2018)].
3. In Ardhuin et al. (2010) **tuning was done to more ST4 parameters and DIA coupling coefficient** → So what other parameters need to be tuned for TSA/FBI? Is simple adjustments to “bmax, Ccu, rcu, and su” is enough to get better results? And large-scale applications may require retuning of the wind source function, performed by a readjustment of “bmax”.

## Still to do:

- Fix MPI directives around TSA/FBI in “w3snl4md.ftn”. This also may improve TSA speed.
- Need to make longer simulations. Add ice forcing?
- Get more buoys data to get better global coverage and better solid statistics.
- More 1D and 2D energy spectra comparison between model & buoys need to be done.
- Check CFSR winds over the west coast of Mexico & California during Hurricane Patricia.

## References:

- (1) Resio, D. T. and W. Perrie, 2008: A two-scale approximation for efficient representation of nonlinear energy transfers in a wind wave spectrum. Part I: Theoretical development. *J. Phys. Oceanogr.*, 38(12), 2,801–2,816
- (2) Perrie, W. and D. T. Resio, 2009: A two-scale approximation for efficient representation of nonlinear energy transfers in a wind wave spectrum. Part II: Application to observed wave spectra. *J. Phys. Oceanogr.*, 39(10), 2,451–2,476.
- (3) Ardhuin, F., W. E. Rogers, A. V. Babanin, J. Filipot, R. Magne, A. Roland, A. van der Westhuysen, P. Queffeulou, J. Lefevre, L. Aouf and F. Collard, 2010: Semiempirical dissipation source functions for ocean waves. Part I: Definition, calibration, and validation. *J. Phys. Oceanogr.*, 40, 1,1917–1,1941.
- (4) Perrie, W., B. Toulany, D. Resio, J.-P. Auclair, 2013: A two-scale approximation for wave-wave interactions in an operational wave model. *Ocean Modelling.* 70, 38-51.  
[doi.org/10.1016/j.ocemod.2013.06.008](https://doi.org/10.1016/j.ocemod.2013.06.008)
- (5) Perrie, W., B. Toulany, A. Roland, M. Dutour-Sikiric, C. Chen, R. Beardsley, J. Qi, Y. Hu, M. Casey, H. Shen, 2018: Modeling North Atlantic Nor'easters With Modern Wave Forecast Models. *Journal of Geophysical Research: Oceans*, 123. <https://doi.org/10.1002/2017JC012868>